# Upper Mississippi River Restoration Program Coordinating Committee

**Quarterly Meeting** 

**November 17, 2021** 

**Agenda** 

with
Background
and
Supporting Materials

# UPPER MISSISSIPPI RIVER RESTORATION PROGRAM COORDINATING COMMITTEE

November 17, 2021 8:00 a.m. – 4:00 p.m. CST

# **AGENDA**

[Note: The states, U.S. Army Corps of Engineers, and the Department of the Interior will arrange their respective pre-meetings via conference call prior to the November 17, 2021 quarterly meeting.]

| Time A    | Attachmei | nt Topic   | Presenter   |
|-----------|-----------|--|---|
| 8:00 a.m. |           | Welcome and Introductions  | Sabrina Chandler, USFWS   |
| 8:05      | A1-15     | Approval of Minutes of August 11, 2021 Meeting   |   |
| 8:10      | B1-3      | Regional Management and Partnership Collaboration  FY 2021 Fiscal Update and FY 2022 Outlook  2015-2025 Strategic and Operational Plan Review  2022 Report to Congress  2021 UMRR Joint Charter Signing  | Marshall Plumley, USACE   |
| 9:10      | C1-2      | Communications  UMRR Communications Team  UMRR 35 <sup>th</sup> Anniversary  FY21 COT Accomplishments  External Communications and Outreach Events   | Rachel Perrine and Jill Bathke, USAC  |
| 10:00     |           | Break  |   |
| 10:15     |           | <ul> <li>UMRR Showcase Presentations</li> <li>FY 21 LTRM Accomplishments</li> <li>FY 21 HREP Accomplishments</li> </ul>  | Jennie Sauer, USGS<br>Angela Deen, Julie Millhollin, and<br>Brian Markert, USACE                  |
| 11:15     | D1-15     | <ul> <li>Program Reports</li> <li>Long Term Resource Monitoring and Science</li> <li>LTRM FY 2021 4<sup>th</sup> Quarter Highlights</li> <li>Status and Trends Report 3<sup>rd</sup> Edition</li> <li>USACE LTRM Update</li> <li>LTRM Implementation Planning</li> </ul> | Jeff Houser, USGS  Karen Hagerty, USACE  Jeff Houser & Jennie Sauer, USGS an Karen Hagerty, USACE |
|           |           | - A-Team Report  | Scott Gritters, IA DNR  |
| 12:15 p.m |           | Lunch  |   |
| 1:00      |           | Program Reports (Continued)  Habitat Restoration  District Reports   | District HREP Managers  |
| 1:45      |           | NESP Update  | Andrew Goodall, USACE   |
| 2:15      |           | Break  |   |
| 2:30      |           | RTC Implementation Issues  | Marshall Plumley, USACE   |
| 3:45      | E1        | Other Business • Future Meeting Schedule   | •   |
| 4:00 p.m. |           | Adjourn  |   |

[See Attachment E for frequently used acronyms, UMRR authorization (as amended), and UMRR (EMP) operating approach.]

Continued on next page for remote connection information

# **Remote Connection Information:**

# November 17

UMRR Coordinating Committee Quarterly Meeting (8:00 a.m. to 4:00 p.m. CST)

Web and video conferencing: https://umrba.my.webex.com/umrba.my/j.php?MTID=m2e99a624d19af04df2160a215f1a38ac

Phone connection:

o Dial-in: 312-535-8110

[Note: In the event that the call line provided is experiencing a high volume of calls, you may also

connect by dialing 469-210-7159.]

o Access code: 2552 004 7362

o Password: 1234

| ATTACHMENT A   |
|--|
| Minutes of the August 11, 2021  UMRR Coordinating Committee Quarterly Meeting  (A-1 to A-15) |
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## DRAFT

# Minutes of the Upper Mississippi River Restoration Program Coordinating Committee

# August 11, 2021 Quarterly Meeting

# **Virtual Meeting**

Brian Chewning of the U.S. Army Corps of Engineers called the meeting to order at 8:00 a.m. on August 11, 2021. UMRR Coordinating Committee representatives on the virtual meeting were Sabrina Chandler (USFWS), Mark Gaikowski (USGS), Chad Craycraft (IL DNR), Randy Schultz (IA DNR), Megan Moore (MN DNR), Matt Vitello (MO DoC), Jim Fischer (WI DNR), Verlon Barnes (NRCS), and Ken Westlake (USEPA). A complete list of attendees follows these minutes.

# Minutes of the May 26, 2021 Meeting

Randy Schultz moved and Jim Fischer seconded a motion to approve the draft minutes of the May 26, 2021 UMRR Coordinating Committee meeting as written. The motion carried unanimously.

# Regional Management and Partnership Collaboration

Marshall Plumley expressed appreciation for the partnership's ongoing efforts to execute the program under continued challenging circumstances and uncertainty. Plumley acknowledged that, given the level of work lately, there have been a lot of additional meetings and he has been asked to consider how to condense discussions and meetings. Megan Moore agreed and noted that, as the program turns back to in person meetings with travel time, condensed and effective meetings will be essential. Jim Fischer agreed that there is an opportunity to strategically condense several meetings and noted the intersections of many ongoing efforts including the Report to Congress, Status and Trends strategic rollout, and LTRM implementation planning. Marshall Plumley agreed.

# FY 2021 Fiscal Update

Plumley said UMRR has obligated over \$25 million, or 75 percent, of its \$33.17 million FY 21 funds to-date. The obligation rate is on target for the year. In response to a question from Brian Chewning, Plumley said the remaining funds to obligate are open contracts and that he does not anticipate any challenges to dispersing remaining funds by the end of the year, but that contingency plans are in place.

# FY 2022 Budget Outlook

Plumley said the President's FY 22 budget recommended \$33.17 million for UMRR. The House and Senate Appropriations Committees have both acted on appropriations bills for FY 22 and concurred with the President's recommended amount for UMRR. The Corps' FY 22 budget submission to OMB occurred prior to the passage of WRDA 2020, so the Corps did not submit a package with the increased annual authorized appropriation. Plumley said UMRR has capability up to the new authorized amount of \$55 million. The final FY 22 appropriation is not yet known.

## UMRR Ten-Year Plan

Plumley reported that the UMRR 10-year implementation plan was updated to reflect anticipated program activities from FY 21 to FY 31. Placeholders have been inserted for the future HREPs that the UMRR Coordinating Committee endorsed last year. Plumley noted that all outyears are subject to change based on funding and conditions on the river. In FY 22, Rock Island District is planning to begin the next of the newly identified HREP fact sheets, with Quincy Bay being the first of those

projects to start planning. The next project has not yet been identified. Plumley said an additional change to the spreadsheet reflects that the Harlow Island HREP has a shortened planning phase so construction may start earlier. Andrew Stephenson said this chart continues to be helpful to understand where work is anticipated in the future and to communicate the work by the partnership to develop the pipeline of projects for 10 years.

## Acres Restored

Plumley said the current schedule of HREP implementation would restore 76,110 acres between FY 21-FY 31. No projects were completed from FY 17 through FY 20 due to high water. Fischer said the figure is an important communication tool for multiple audiences. Fischer said he used it in a presentation to the Wisconsin Conservation Congress to show where the program's history and future trajectory. In response to a question from Chewning, Plumley said the potential acres to be restored by FY 31 reflects completion of scheduled projects under current funding levels of \$33.17 million. Decreased funding levels would extend the end date for completing projects and increased appropriations could accelerate these restoration activities. In response to a question from Ken Westlake, Plumley said UMRR's total restored acres has remained at 106,000 acres since FY 17. Plumley expressed the importance of completing projects this year and next year.

# Potential Construction Completions

Plumley reported that three projects, totaling 5,590 acres, are anticipated to be completed by December 2021, increasing UMRR's total acres restored to approximately 111,000 acres through 59 completed projects. These projects include Conway Lake, Pool 12 Overwintering, and Ted Shanks. Another four projects are anticipated to be completed in 2022 that would collectively add 9,810 acres to UMRR's total restored or improved habitat. Karen Hagerty suggested developing a figure depicting acres restored and funding levels together. Mark Gaikowski agreed and said it would help demonstrate the value of continued high levels of investment. Gaikowski suggested aligning significant LTRM science products as well to highlight the continued value of having an improved understanding of the system. Fischer said capturing the growth in knowledge over the decades would be a great story to tell. Hagerty concurred and said UMRR's science has produced incredible insights in recent years. Kirsten Wallace said a message regarding how knowledge has increased exponentially could be incorporated into the strategic rollout of the UMRR LTRM Status and Trends Report and would be useful for a variety of audiences including the public and funding decision-makers.

# 2015-2025 Strategic and Operational Plan Review

Plumley reported that, on August 6, 2021, the UMRR Coordinating Committee met to review the draft survey being developed for distribution to the UMRR partnership at-large regarding the 2015-2025 Strategic and Operational Plan. The purpose of the survey is to seek input regarding progress achieved since 2015, priorities for the next five years, and the issue areas to include in the 2022 Report to Congress. The meeting included an overview of the strategic plan review crosswalk (pages B5-B10 in meeting packet), which aligns the Objectives, Strategies, Needs, and Actions as outlined in the Strategic and Operational Plan with results of the Coordinating Committee's survey responses and priority actions identified at the May 2020 Strategic Plan review meeting. Janelle Gaun said the survey also identified actions and needs from the Operational Plan with the least consensus around how well they had been addressed. Plumley said that some adjustments were made to the survey following the August 6, 2021 meeting including adding choices to demographic questions, a question on geographic specificity of respondents' familiarity with the river, ways respondents may have engaged with the program, clarifications to question wording, and open-ended questions. Stephenson noted that some priority actions may address multiple goals in the strategic plan. He expressed appreciation for Gaun's efforts to develop the crosswalk document and noted that it will be valuable to reflect on for years to come. Stephenson said revisions to the online survey are underway, and it should be available for distribution

soon. Plumley said the 2019 HREP Planning and Design Workshop invitee list will serve as an initial distribution list as it represents the last all-hands meeting of both program elements. The UMRR Coordinating Committee will be asked to confirm staff on that list within their respective agency to receive the survey. The survey is anticipated to be distributed in September 2021.

# 2022 Report to Congress

Plumley reported that a kickoff meeting for the UMRR 2022 Report to Congress was held on July 19, 2021. Plumley identified the lead authors and collaborators for each section of the report and overviewed the roles and responsibilities for lead authors, contributors, and Corps staff who will help develop the report. The assigned lead authors and contributors are as follows:

| Report Outline Section   | Lead Author(s)                                   | Collaboration  |
|--|--|--|
| Forward  | Marshall Plumley, Jill Bathke                    | UMRBA  |
| <b>Executive Summary</b>   | Marshall Plumley, Jill Bathke                    | UMRBA  |
| History and Background   | Marshall Plumley, Jill Bathke                    | UMRBA, UMRR partners   |
| A. Origins and Authorization   |  |  |
| B. Evolution of the Program's Maturity                                     |  |  |
| C. Robust and Stable Funding   | Marshall Plumley, Jill Bathke                    | District HREP Managers,<br>Karen Hagerty,<br>Jennie Sauer, Jeff Houser |
| Chapter 1. Strategic Partnership and Vision                                | Marshall Plumley, Jill Bathke                    | UMRBA  |
| A. Strong, Integrated Partnership  | UMRBA  | Marshall Plumley, Jill<br>Bathke, UMRR partners                        |
| B. Strategic Implementation  | Marshall Plumley, Jill Bathke, UMRBA             |  |
| C. Bridge Building Initiatives   | Marshall Plumley, Jill Bathke,<br>Jeff Houser    | Karen Hagerty, Kat<br>McCain, Sara Schmuecker<br>& Nate DeJager        |
| D. Engaging and Collaborating with Others                                  | UMRBA  | Marshall Plumley, Jeff<br>Houser, Jennie Sauer                         |
| E. Future Strategic Direction  | Marshall Plumley, Jill Bathke                    | UMRBA, Jeff Houser   |
| Chapter 2. Enhancing Habitat   | Marshall Plumley                                 | MVP, MVR, MVS,<br>USGS, USFWS, States                                  |
| A. Addressing Key Ecological Needs   |  |  |
| B. Applying Adaptive Management Principles to Address Risk and Uncertainty |  |  |
| Chapter 3. Advancing Knowledge   | Jeff Houser                                      | Karen Hagerty, Jennie<br>Sauer, Field Stations                         |
| A. Assessing and Detecting Changes in UMR<br>Ecosystem                     |  |  |
| B. Providing Critical Insights and<br>Understanding to Improve Restoration |  |  |
| Chapter 4. Implementation Issues   | Marshall Plumley, Jill Bathke                    | UMRBA, UMRR Partners,<br>District HREP Managers                        |
| Chapter 5. Conclusions and Recommendations                                 | Marshall Plumley, Jill Bathke,<br>Brian Chewning | UMRBA  |

Plumley said the Corps has contracted with UMRBA to complete UMRR's last two Reports to Congress. For this report, Corps staff will maintain version control of the document, but that UMRBA has a critical role to play in ensuring we are talking with one-voice in this report and that it will reflect the mission and priorities of the partnership. Jill Bathke will be the gatekeeper of the document and is responsible for version control. Mary Rodkey will be the technical editor of the report and Emily Chavolla will be responsible for visual design. Chapter templates were created and provided to authors to establish consistent text, figure, and table formatting across chapters. Authors were asked to provide additional details regarding chapter content by August 16, 2021, and the first update meeting with authors and collaborators is anticipated for mid- to late-August. Rough drafts of report sections are scheduled to be completed by the end of September 2021. Chapters will be assembled into a draft report and shared with partners for review from December 2021 to January 2022. Partner comments will be consolidated into one document and shared to ensure transparency in report development. The first in-progress review (IPR) with MVD and USACE HQ is anticipated for January 2022. This will provide an opportunity to engage with Headquarters reviewers early in the process and allow adequate time to make any necessary modifications.

Chewning said that science is integral to UMRR's mission and asked if any information developed under UMRR is being used by other agencies or other Corps offices to advance their own missions. Plumley said groups from outside the region have looked to UMRR and how we do science and monitoring and have taken that back and applied that to other work. UMRR has relationships with other river restoration efforts in other countries to exchange information and that several states have adopted LTRM study design and protocols. Plumley said some of these types of external uses of LTRM data and information have been highlighted in past reports to Congress, and that they can be reiterated and expanded upon in this report. Karen Hagerty noted that New Mexico, Pennsylvania, and Illinois have adopted LTRM methods. Jeff Houser said the broader scientific community is also interested in what UMRR is doing and that can be captured in the report as well. As an example, KathiJo Jankowski was invited to provide a large river perspective to an American Geophysical Union Chapman Conference focused on understanding lake ice dynamics and winter aquatic systems. Kirsten Wallace said the 2016 Report to Congress highlighted The Nature Conservancy's Great Rivers program showcasing LTRM in China and Brazil. She added that, more recently, Jankowski presented to UMRBA's Water Quality Task Force and Executive Committee regarding water quality information and links to Clean Water Act and chloride trends. That will help to integrate CWA-focused monitoring and assessments on the river with LTRM and leverage overall knowledge of water quality conditions. Wallace said the information in the LTRM Status and Trends report will have broad implications and powerful utilization. The value that LTRM provides to UMRR and for broader river management should be explained in the report. Moore said LTRM data has been used and continues to be used for Clean Water Assessments. Hagerty said UMRR is incredibly unique in the research and science arena in large part because of the duration of LTRM's monitoring record. It allows for scientific observations not available in other monitoring initiatives, including long term trends. Plumley said case studies can be highlighted in the report.

## UMRR Joint Charter Review

Plumley said that Stephenson sent an August 5, 2021 email to the UMRR Coordinating Committee members regarding suggested technical corrections to the version of the UMRR Joint Charter that was endorsed by the Coordinating Committee at its May 26, 2021 quarterly meeting. These changes are related to legal clarity (e.g., adding references to public laws that have adjusted UMRR's authorization) or some minor editorial changes. Changes include:

- Adding references to public laws that affected UMRR's authorization in the introduction.
- Reordering text.
- Correcting UMRR's authorization date.
- Adding USDA to NRCS in the membership section.
- Revising the Template letter to clarify that i) potential project sponsors are the landowners and ii) serving as a project sponsor requires a cost share match.
- Updating the Charter signatories for NRCS and USEPA.

Fischer asked if USEPA Regions 7 and 5 are both being asked to sign the Charter. Ken Westlake said Region 7 has not yet responded to his request on the matter. Westlake confirmed that Region 5 is prepared to sign the Charter. In response to a request from Brian Chewning, Megan Moore moved and Chad Craycraft seconded a motion to approve the technical changes to the Charter that will be routed for electronic signatures. The motion carried unanimously.

In response to a question from Chewning, Plumley said a PDF document would be routed for electronic signatures. Stephenson suggested using an email chain to advance the Charter to signatories as they sign. Sabrina Chandler and Chewning agreed that similar processes have worked for them before. Rachel Perrine said she would provide an example of digitally routing that was used for the RRCT recently. Plumley said the process would be started in the next couple weeks.

# **Communications**

## UMRR Communications and Outreach Team

Rachel Perrine reported that the UMRR communications and outreach team (COT) finalized the draft program flyer. The flyer is geared toward a general audience with limited knowledge of UMRR. It highlights the value of the UMRS and benefits of UMRR in the context of water, wildlife, and way of life. Kirsten Wallace commended the team on the final product and said it will be very useful for distribution to other partners. Jodi Creswell agreed. Perrine said USACE will distribute an electronic version of the flyer and organize a printing of flyers for program partners. In response to a question from Megan Moore, Marshall Plumley said a print order can be organized to meet local event needs. Coordinating Committee members were asked to coordinate within their agencies to determine the number of printed flyers they would like and send an email with the request amount and point of contact to Jill Bathke and Rachel Perrine.

The imagery, text, and themes from the new flyer will be used to develop pull-down banners for outreach activities. Pull-down banners are anticipated to be completed in late 2021. The colors and themes will also be used in the UMRR 2022 Report to Congress. Perrine said the communications team's state members requested the use of state agency logos on the pull-down banners rather than the state seals, due to state policies. Perrine asked the Coordinating Committee to provide guidance on whether to use state seals or state agency logos on outreach materials. Stephenson noted that the states communication experts on the team expressed that some of the state agencies have undergone significant branding efforts of their own and would like that to be considered. Sabrina Chandler said there should be consistency across the flyer, pull-down banner, and other materials. Moore noted the consistent use of the federal agency logos in UMRR documents and expressed support for using state agency logos consistently. Moore confirmed that Minnesota had recently updated its agency logos. Stephenson and Plumley explained that the use of state seals reflected the fact that the states are the authorized partners for UMRR. Wallace added that the UMRR Coordinating Committee had historically agreed to use the state seals because they were thought to be more powerful. She also noted that Illinois' involvement of both the INHS and IDNR was a consideration for using state seals. Jim Fischer expressed a preference for using agency logos as they are more recognizable than state seals. In response to a question from Hagerty, Plumley said HREPs are executed by state agencies. Moore said she will coordinate with state communications staff on requirements and send a recommendation. Wallace said UMRBA staff could draft a formal request and ask state representatives to confirm by August 25, 2021, whether state seals or state department logos should be used in the flyer and future communication materials. Fischer and Moore expressed support for that as a next step. [Note: Following conclusion of the meeting, all UMRR Coordinating Committee state members indicated a preference for using state agency logos over state seals on UMRR outreach materials.]

Perrine said the communications and outreach team also discussed developing a video series to recognize and celebrate UMRR's 35<sup>th</sup> anniversary. Videos will be three minutes long with clear and

concise messaging similar to a news package with interviewer, narrator, and use of voice over video segments and images of the Upper Mississippi River. The themes of the first four videos are:

- 1. What is UMRR: History and Partnership
- 2. Success of UMRR
- 3. Science on the River
- 4. Future of UMRR

Interviews for the first video on the history of UMRR will be conducted in August and September. Perrine requested that suggestions for potential interviewees be sent to Jill Bathke. The videos will be shared publicly via social media. In response to a question from Fischer, Perrine said the video production team is focusing on producing one video at a time, but that interviews will be collected throughout. In response to a question from Stephenson, Perrine said she was not certain of the process for reviewing the video script. In response to a question from Chewning, Perrine said she would appreciate suggestions for people to interview for the first video as soon as possible. Fischer said LTRM crews may have valuable video for B-roll and asked how it could be shared with the video production team. Perrine said the video series team was currently developing guidelines (e.g., resolution) for photos or videos that could be used and would share that when it was ready. In response to a question from Moore, Perrine said interviewees do not need to be familiar with UMRR. The team is looking for genuine opinions on the river and the work being done on it. For example, an avid ice angler would speak more avidly about changes in fishing experience than UMRR broadly. Those types of messages are still very related to UMRR's value to the river and the public. Mark Gaikowski suggested contacting the hotel in Stoddard, WI next to Pool 8 Island HREP for their perspective on the economic benefits of restoration projects and connections to local businesses. Chris Erickson suggested reaching out to Terry Tuma, a well-known spokesman in the fishing industry, who fishes extensively on the river.

Perrine said the team is also developing simple talking points and key messages for program partners' use during outreach activities. The team is reviewing the draft statements and determining the appropriate level of detail to include and program facts to highlight.

Plumley explained that an *ad hoc* team to develop strategies for publicly rolling out the third UMRR LTRM Status and Trends Report requested input from the UMRR Coordinating Committee via an online survey. Fischer said this a great opportunity to identify key partners or organizations for the partnership to target with key messages in the report and provided examples of potential audiences, including local conservation groups, Congressional members, among others to both spur action in the watershed and communicate the value of the program. Fischer said there should be additional conversations to clarify the roles of the UMRR Coordinating Committee, communications team, and UMRBA in this effort. Plumley agreed and said there have been several conversations over the past couple months on who the right group is to shepherd the rollout, including how the communications team can support that effort. Plumley acknowledged the leadership roles of USGS and the Corps, as science leads, in collaboration with the UMRR Coordinating Committee and UMRBA. Gaikowski said all partners bring the ability to identify connections to the report information and their respective agency missions and priorities and that he is looking forward to seeing the results of the survey and identification of audiences to help communicate about the Status and Trends Report.

Perrine said future potential activities for the communications team include finalizing the communication and outreach materials inventory, developing HREP/LTRM signage that would have more current information or imagery or tagline, reviewing the UMRR Communication and Outreach Plan, and refining the Lower Illinois River Pilot Project.

Communication and outreach activities in the third quarter of FY 21 include the following:

- Kirsten Wallace said that, on behalf of UMRBA, she testified to the House Select Committee on the Climate Crisis on June 11, 2021. The hearing focused on building resilient communities and also included the mayors of Madison, Los Angeles, and Atlanta. UMRBA testimony focused on how regional science, coordination, and planning can result in regional resilience. The testimony shared what we know about ecological resilience through the Upper Mississippi River Restoration (UMRR) program and underscored the interconnectedness of communities and river users/uses that require a collective effort at the regional or watershed scale. In addition, the testimony called for investment in UMRR, the Navigation and Ecosystem Sustainability Program (NESP), nutrient reduction strategies, and long term resilience planning. Wallace expressed her appreciation to Megan Moore and Jeff Houser for their review of the testimony. Wallace said it was a good opportunity to bring the data and science to the committee's attention and highlight that UMRR has been working on resilience for some time. Wallace expressed appreciation to Houser and others for their research efforts that allow UMRR to add to national discussions on ecological resilience.
- Mark Gaikowski reported that, on June 3, 2021, USGS participated in an open house with USACE regarding underwater acoustic deterrents at Lock 19. They discussed the value of monitoring for tracking effects of invasive species impacting ecosystems. USGS is also coordinating within the Department of the Interior to highlight the climate vulnerability assessment to support USFWS lands in the Midwest. This effort has connections to various efforts in the UMRS including discussions about modeling potential future hydrology of the UMRS.
- Scott Gritters said that, on August 16, there will be an Iowa mussel blitz on Upper Cedar River that will include Mississippi River staff.

#### **UMRR Showcase Presentations**

Why and how should we model future UMRS hydrology?

Molly Van Appledorn and Lucie Sawyer are planning a series of meetings to engage the partnership in discussions about modeling potential future hydrology of the UMRS. The desired outcome from these meetings is for a detailed description of an ideal quantitative future hydrology dataset. Three virtual meetings are planned for this fall to identify UMRR priorities for understanding climate change hydrology, potential datasets and approaches to addressing UMRR priorities as well as ideal outcomes of modeling effort, and to develop a proposal for a quantitative modeling effort. The first two meetings will each consist of two half-day sessions and be held on September 21 and 23 and on November 1 and 2. The third meeting date has not been determined. Participants will include members of the UMRR partnership such as A-Team members, HREP experts, LTRM scientists, UMRR technical experts, and possibly experts from the Corps' Climate Preparedness and Resiliency Community of Practice. Workshop participants will be asked to engage with their colleagues prior to the meeting on the following questions:

- How would a future hydrology dataset help your agency carry out UMRR mission?
- Are there certain hydrologic criteria you use in your decision making or research?
- At what spatial and temporal scales do you use (or would like to use) hydrologic data?

This work builds on Van Appledorn and Sawyer's efforts to determine best practices for serving historic and contemporary daily water surface elevations from USACE gaging locations for use by the UMRR partnership in support of LTRM monitoring and HREP planning.

Mike Klingner said the last a major H&H study for the flood frequency study included a public involvement group that ran concurrently with the scientific analysis and asked if this effort would include a similar public input component. Kirsten Wallace said flood dynamics and sediment issues tied to this work are important to the public. She added that UMRBA will be engaged in the process and work to connect it to its resiliency work and the work of others focused on tributary influences, what a future condition might look like, and what changes might look like relative to conveyance. Klingner encouraged incorporating upland storage impacts into the model discussion. In response to a question from Megan Moore, Van Appledorn said meeting invites were sent to state A-Team representatives and encouraged folks to share additional thoughts, resources, or tools with those individuals to bring to the discussion. Jim Fischer said the work has clear ties to ongoing flood, sediment, and drought work and will be very valuable. Davi Michl commended Van Appledorn and Sawyer on this effort.

# HREP Story Maps

Kayleigh Thomas summarized progress on modernizing public facing HREP materials through the development of story maps. Old static and traditional maps were time consuming to produce and update and could quickly become out of date. The story maps can utilize data from existing authoritative datasets, are easily updated, and can be shared publicly or embedded into USACE webpages. GIS team members at the three USACE districts are working with project managers and engineers to distill data from authoritative project documents such as fact sheets, feasibility reports, as-built drawings, operation and maintenance manuals, and performance evaluation reports to include in the story maps. A uniform template was developed for use across all districts to keep the look and content consistent. HREP story maps include a landing page, general information about the HREP as well as the project objectives and restoration features.

The GIS team has completed 36 of 102 story maps and is currently working on several maps. The new online interface also makes it easier to locate an HREP. The link to the interface is: <a href="https://www.mvr.usace.army.mil/Missions/Environmental-Stewardship/Upper-Mississippi-River-Restoration/Find-an-HREP-Project/">https://www.mvr.usace.army.mil/Missions/Environmental-Stewardship/Upper-Mississippi-River-Restoration/Find-an-HREP-Project/</a>

In response to a question from Andrew Stephenson, Thomas said many layers are available in ArcGIS and that geoprocessing could be used to identify all project boundaries that overlay a specific project feature (e.g., islands). Davi Michl and Karen Hagerty commended Thomas on her work. Stephenson agreed and it helps address many actions outlined in the strategic plan. Jim Fischer said the story maps are a great communication tool and asked if they were connected to the various datasets available through partners or LTRM (e.g., fixed water quality sites within an HREP boundary or SRS data in trend pools). Thomas said the purpose of the story maps was to update text only static maps but that it could be integrated in the future. Hagerty said there have been steps to better integrate those data but they are still at the beginning of that effort. Houser noted that several of these sites have LTRM sites nearby that could provide interesting opportunities to link to LTRM data. Thomas agreed and said the platform allows leverage of a lot of available data and efficient delivery to the public and said additional products could be developed in the future. Plumley said each project webpage has completed PER reports included, but that easy access to the various information sources across the partnership remains challenging. He said he is interested in understanding the full range of information across PERs, project monitoring, and adaptive management. Rock Island District has started a process to aggregate that information and will share progress with the other districts. Plumley said he would like to have more broad discussions in the next year on the subject after that information is available. Fischer expressed appreciation for that initial inventory work and noted it may also help inform LTRM implementation planning discussions.

#### **Habitat Restoration**

Angela Deen said MVP's planning priorities include Reno Bottoms and Lower Pool 10. The forest succession model is being used to re-evaluate alternatives and TSP selection is anticipated in fall 2021. A draft feasibility report for Lower Pool 10 is anticipated to be released for public review in August 2021 and a final report is anticipated to be submitted to MVD in fall 2021. The district hopes to initiate design for Lower Pool 10 this winter. MVP has four projects in construction – i.e., Harpers Slough, McGregor Lake, Bass Ponds, and Conway Lake. The contractor at Harpers Slough HREP began work to repair three islands damaged from high water. Interior lake granular placement, rock work, and berm mixing are occurring at McGregor Lake and the project is fifty percent complete. Concrete stoplog structures are finished at Bass Ponds and Refuge staff were able to do their first drawdown which showed positive vegetation response. Construction may be completed one year ahead of schedule with only miscellaneous metal work and access roads remaining and a ribbon cutting ceremony is being discussed for early October. Conway Lake is nearly complete but high water is needed to access final seeding locations. The district is planning a kickoff meeting for Lower Pool 4 Big Lake feasibility work in fall 2021 and plans to complete three performance evaluation reports by the end of FY 21. Brian Chewning said it was good to see Harpers Slough moving in the right direction. In response to a question from Jim Fischer, Deen said there was a site visit to Trempealeau on June 22, 2021, to tour features and consider options for adaptive management or retrofitting features such as portable pumps. Discussions regarding how best to address the site needs are ongoing. Marshall Plumley said it was great to have in-person discussion at the site and that potential avenues to address concerns were very positive. In response to a question from Andrew Stephenson, Deen said PERs are underway for Ambrough Slough, Long Meadow Lake, and Pool Slough and updates could be shared at the next quarterly meeting.

Julie Millhollin said MVR's planning priorities include Lower Pool 13, Green Island, Pool 12 Forestry, and Quincy Bay. The Lower Pool 13 PDT has determined that two separate projects are needed to effectively address problems with different spatial scales. The Green Island PDT and sponsor met onsite on July 27, 2021. The Pool 12 Forestry PDT held a virtual open house on July 16, 2021, and public comments are due August 14, 2021. A virtual kick off meeting for Quincy Bay is scheduled for August 19, 2021. MVR's design priority is Steamboat Island Stage I and the 100 percent review is scheduled for the week of September 6, 2021. MVR has six projects in construction. Pool 12 Overwintering Stage II is complete; the PDT is wrapping up as-builts and O&M manuals and will be sending out close-out letters in early fall. The contractor at Keithsburg Division Stage 1 has mobilized to the site after eagles left their nest and the PDT finalized the modification to add an articulated concrete mattress for Stage II. Keithsburg Division Stage II proposals are due August 24, 2021. Huron Island Stage III aquatic vegetation planting was completed July 20-21, 2021 and ERDC will evaluate the plants in September 2021. The contractor at Beaver Island is working on shaping placement sites. A panel display monitor was replaced at Rice Lake on July 28, 2021. MVR is addressing sponsor comments on three fact sheets prior to submitting to MVD. In response to a question from Chewning, Millhollin said the district is hoping to submit fact sheets to MVD before the end of the fiscal year.

Brian Markert said MVS's planning priorities include West Alton Islands and Yorkinut Slough. Feasibility planning continues for West Alton Islands with two potential sponsors MDC and USFWS. Yorkinut Slough has complex hydrologic issues for the PDT to consider and hydraulic modeling is in progress. MVS's design priorities include Piasa & Eagles Nest, Crains Island, and Oakwood Bottoms. Plans and specs for Piasa & Eagles Nest Phase II and Crains Island Phase II are both anticipated to be completed in fall 2021. Oakwood Bottoms received assistance from Memphis and Savanna Districts regarding well pump testing and the project is anticipated to be ready for advertising in the first half of FY 22. Earth work and pile removal is ongoing at Crains Island. Construction on a rock structure at Piasa & Eagles Nest is anticipated to begin in August 2021. The pump station and berm setback are underway at Clarence Cannon. Reforestation work was completed at Ted Shanks and the invoice is being prepared to close the project out. The Sterling Island fact sheet was sent to MVD for approval

and the district is awaiting comments from MVD on the Open River fact sheet. The last recommended fact sheet is being coordinated with Illinois DNR/TNC as sponsors and will be sent to MVD for approval later this year.

# **Long Term Resource Monitoring and Science**

# FY 2021 3<sup>rd</sup> Quarter Report

Jeff Houser reported that accomplishments of the third quarter of FY 21 include publication of a manuscript regarding floodplain forest structure and the recent decline of *Carya illinoinensis* (northern pecan) in the journal Forest Ecology and Management. Researchers used dendrochronology to characterize the floodplain forest composition, structure and dynamics and examined annual- to decadal-scale growth responses of northern pecan trees to disturbance events. Observed decline in northern pecan may be due to altered flooding regimes, drought frequency, masting phenology, fire suppression, and warming temperatures. Persistence of pecan trees in much of the UMR floodplain will require direct forest restoration actions.

Houser said 18 UMRR "science in support of restoration" funded projects are in-progress. LTRM staff will assist in developing chapters for the UMRR 2022 Report to Congress and planning for the 2022 UMRR Science Meeting is anticipated to begin in the next few weeks. Houser added that the resilience assessment is ongoing. He noted that Andy Meier's presentation at the UMRBA Board's quarterly meeting on August 10, 2021, included three components from the resilience assessment in discussion of work on systemic floodplain forests.

Status and Trends 3<sup>rd</sup> Edition

Houser said that the UMRR LTRM Status and Trends Report 3rd Edition is being reviewed by USGS' Science Publishing Network (SPN) to produce a final version of the report by mid-November 2021. A small group is planning for a strategic rollout for the UMRR Status and Trends Report.

# USACE LTRM Report

Karen Hagerty said UMRR's LTRM FY 22 budget allocation will follow FY 21 allocations if the program receives \$33.17 million in funding. That is, \$6.3 million (\$5.0 million for base monitoring and \$1.3 million for analysis under base) with an additional \$2.5 million available for "science in support of restoration and management." Hagerty said consistent funding at this level in recent years has contributed to the advancement of many science priorities and expressed appreciation for Houser's leadership on the science portion of LTRM. She said more extensive budget breakouts will be available at the next quarterly meeting.

# A-Team Report

Scott Gritters said the A-Team met via webinar on July 20, 2021. Topics discussed included UMRR updates, recent LTRM science publications, Molly Van Appledorn's future hydrology meeting series, macroinvertebrate sampling and research needs, vegetation community analysis by Kristen Bouska, continued impacts of COVID-19 on agency policies and potential impacts to the 2021 field/work season, and an introduction to staff at the Great River Field Station. Gritters said that data suggests there could be decline of mayflies on the river and increases in PFAS, forever chemicals, have unknown impacts to invert populations on the river. Shawn Giblin raised these issues and suggested reinstating macroinvertebrate sampling under LTRM. Jim Lamer is developing a proposal for review and discussion at the next A-Team meeting. Gritters encouraged suggestions for topics for the next A-Team meeting. Stephenson expressed appreciation for the field station visit and focus on people as a new part of the A-Team meeting. Gritters said it is important to recognize the important contributions of partners

at all levels of the program and data collection is fundamental to the program's success. Fischer agreed and said those staff spend thousands of hours on the river and have great perspective on how it changes. The A-Team's next meeting is anticipated to be scheduled for early November 2021.

# LTRM Implementation Planning

Houser said LTRM implementation planning is intended to address unmet information needs for UMRS if additional funding is dedicated to the program following increased authorization under WRDA 2020. The purpose of LTRM implementation planning is to identify and prioritize specific information needs not currently being met for the UMRS and specific actions to take to address those needs if additional funds are appropriated for UMRR LTRM. Houser reported that the *ad hoc* LTRM Implementation Planning Team met on July 15, 2021 to select a facilitator(s) from four identified potential facilitators and to review a draft LTRM implementation planning guidance document included on pages D-20 to D-21 of the meeting agenda packet. The draft guidance document outlines the purpose, desired outcomes, and initial process guidelines for discussion with the facilitators. The planning process will be structured to create time and space to think deeply about challenging questions, encourage a fair and transparent process, and allow participants to explore what information their agencies need for the management and restoration of the system. Outcomes are specific information needs and actions to address those needs. The group emphasized that data alone are not actionable items but should be paired with the analysis and communication of the results.

The group identified Max Post van der Burg and Dave Smith from USGS as the best fit for the needs identified in the implementation guidance document and the materials provided by the potential facilitators. Bios for both facilitators are included on pages D-17 to D-19 of the meeting agenda packet, and both have backgrounds in landscape ecology and large-scale planning. The next steps in the process will be to incorporate any feedback from the UMRR Coordinating Committee into a revised draft guidance document and discuss with the facilitators an appropriate sequence of meetings, timeline, and list of participants for implementation planning. In response to a question from Brian Chewning, Houser said information needs are a subset of scientific uncertainty. Megan Moore asked for clarification on whether the LTRM management team or the ad hoc implementation team selected the facilitators. Houser explained that the LTRM management team did meet to discuss facilitators and the intent was to bring some suggestions to the small group for discussion but that a decision was not made. Karen Hagerty echoed Houser's reflections but said it could have been handled differently. Plumley said the idea was to let folks know our thoughts on the field of candidates and that additional discussion with the full implementation team resulted in concurrence on the selected facilitators. Jim Fischer agreed that a different approach would have been better and said the discussion with the full team was very valuable and that he supports the direction going forward. Moore expressed appreciation for the additional context and encouraged input from all ahead of future decisions. Houser agreed. Fischer said the diversity in partner perspectives is an important part of the program's success and that having all voices at the table as the planning process proceeds will be necessary. Fischer asked Houser to speak to the overlap between the UMRR 2021-2025 Strategic Plan review survey and LTRM implementation planning. Houser said LTRM implementation planning will focus at a greater level of detail and specificity than the Strategic Plan review survey, but that any overlap will be explored. Stephenson noted that it will be important to be aware of the relationship to the two efforts but that the survey will prioritize actions already identified by the Committee while implementation planning will identify information needs that have not necessarily been considered before. Fischer emphasized the need to use the survey to inform the implementation planning to the extent possible. Houser agreed.

# **Navigation and Ecosystem Sustainability Program**

Brian Johnson provided an update regarding the Navigation and Ecosystem Sustainability Program (NESP). Additional updates are anticipated at future UMRR Coordinating Committee meetings until such a time that a formal NESP coordinating body is established. The focus for NESP during FY 21 has

been to advance projects to construction readiness. Navigation and ecosystem projects that will be construction ready for FY 22 include:

# Navigation (Total \$12.5M)

- Lock 25 Lockwall Modifications
- Lock 14 Mooring Cell
- Moore's Towhead Systemic Mitigation

# Ecosystem (Total \$10M)

- Pool 2 Wingdam Notching
- Twin Islands Island Protection
- Alton Pool Side Channel and Island Protection
- Starved Rock Habitat Restoration and Enhancement

Lock 25 lockwall modifications will be the first project to construction if funds are received in FY 22. Lock 14 mooring cell is a small-scale navigation project, and the environmental assessment will be sent out for public review in the coming weeks. Moore's Towhead systemic mitigation was started in 2009. The island on the Illinois River located next to the navigation channel and was identified as an area that would be impacted by additional navigation traffic. Pool 2 wingdam notching will be ready for construction in FY 22. The project was approved prior to the interruption of major NESP planning funding in 2011. Twin Islands project approval will likely be completed in the next week. It was approved in 2009. Starved Rock is currently in planning and design and will convert a portion of the pool from a flowing system to a large slack water area to encourage the growth of aquatic plants and provide habitat for associated fauna. Karen Hagerty suggested renaming the Starved Rock project to something without the HREP moniker.

Additionally, the feasibility report for fish passage at Lock and Dam 22 underwent public review, and approval of that report is anticipated by the end of the calendar year 2021. The project is anticipated to be construction ready by the end of FY 23.

The District-based river teams were asked to identify additional ecosystem projects for implementation under NESP by July 30, 2021. Twenty-nine projects across three districts have been identified as priority projects including six side channel restoration projects, six multi-pool projects, five island construction, five backwater projects, three floodplain restoration, two island and shoreline protection, one habitat improvement and one dike alteration project. Ten to twelve projects will be selected for fact sheet development and be sent to MVD for approval. River teams identified some larger, multi-pool efforts that would fit well under NESP such as systemic shoreline protection or forest restoration. Projects over five million dollars will need approval by MVD prior to starting. There is a need to further evaluate the larger multi-pool or systemic efforts across river teams, but needs for forest and shoreline restoration exist in all districts. In response to a question from Tim Yager, Johnson clarified that the RRF has not yet endorsed the projects advanced by the FWWG. The RRF is scheduled to meet on August 24, 2021 to review and consider endorsement of the list. In response to a question from Chad Craycraft, Johnson said Starved Rock is near the 35 percent review milestone, but that H&H modeling is causing them to look at alignment at top of that structure. In response to another question from Craycraft, Johnson said implementation guidance under NESP states that fish passage projects must be approved at the Chief of Engineers level and that he has reached out to Headquarters to understand the requirements for that going forward should NESP receive a construction new start.

In response to a question from Kirsten Wallace, Johnson said that NESP does not have a formal coordinating body. There are monthly calls with federal and state representatives, but Andrew Goodall intends to talk with partners about standing up a formal coordinating body in the future. Wallace noted that the leading agencies wrote a letter last year to support the Lock and Dam 22 fish passage project. Wallace asked those who participate in the coordinating meetings if the partnership wants to issue a formal statement on the prioritized list of projects when they are identified. In response to a question from Matt Vitello, Wallace suggested adding it as a discussion topic at the next coordinating meeting. Johnson said the Corps hopes to have a draft set of priority projects by the next meeting and could discuss the appropriate path forward with implementing partners. Moore agreed with the proposed actions and requested that Corps staff distribute necessary reference materials ahead of the meeting to aid agency review and internal discussions. Lauren Salvato suggested the Starved Rock PDT coordinate with the Illinois River Basin NGWOS to avoid duplicative monitoring efforts as they will be collecting data in the pool and intensively monitoring harmful algal blooms and nutrient levels. Johnson said he would follow-up with the PDT and project manager.

#### **Other Business**

Upcoming quarterly meetings are as follows:

- November 2021 TBD
  - UMRBA quarterly meeting November 16
  - UMRR Coordinating Committee quarterly meeting November 17
- February 2022 TBD
  - UMRBA quarterly meeting February 22
  - UMRR Coordinating Committee quarterly meeting February 23
- May 2022 TBD
  - UMRBA quarterly meeting May 24
  - UMRR Coordinating Committee quarterly meeting May 25

With no further business, Chad Craycraft moved and Jim Fischer seconded a motion to adjourn the meeting. The motion carried unanimously, and the meeting adjourned at 1:37 p.m.

# UMRR Coordinating Committee Virtual Attendance List August 11, 2021

# **UMRR Coordinating Committee Members**

Brian Chewning U.S. Army Corps of Engineers, MVD

Sabrina Chandler U.S. Fish and Wildlife Service, UMR Refuges

Mark Gaikowski U.S. Geological Survey, UMESC

Chad Craycraft
Randy Schultz
Illinois Department of Natural Resources
Iowa Department of Natural Resources
Megan Moore
Minnesota Department of Natural Resources
Matt Vitello
Missouri Department of Conservation
Jim Fischer
Wisconsin Department of Natural Resources

Ken Westlake

U.S. Environmental Protection Agency, Region 5

# Others In Attendance

Jim Cole U.S. Army Corps of Engineers, MVD Thatch Shepard U.S. Army Corps of Engineers, MVD Ben Robinson U.S. Army Corps of Engineers, MVD U.S. Army Corps of Engineers, MVD Leann Riggs Angela Deen U.S. Army Corps of Engineers, MVP Chris Erickson U.S. Army Corps of Engineers, MVP U.S. Army Corps of Engineers, MVR Marshall Plumley Karen Hagerty U.S. Army Corps of Engineers, MVR Julie Millhollin U.S. Army Corps of Engineers, MVR Davi Michl U.S. Army Corps of Engineers, MVR Rachel Hawes U.S. Army Corps of Engineers, MVR U.S. Army Corps of Engineers, MVR Rachel Perrine **Kayleigh Thomas** U.S. Army Corps of Engineers, MVR U.S. Army Corps of Engineers, MVS Jodi Creswell U.S. Army Corps of Engineers, MVS Brian Markert Kat McCain U.S. Army Corps of Engineers, MVS U.S. Army Corps of Engineers, MVS Brian Johnson Greg Kohler U.S. Army Corps of Engineers, MVS Lane Richter U.S. Army Corps of Engineers, MVS U.S. Army Corps of Engineers, SWT Bryan Taylor Jason Daniels U.S. Environmental Protection Agency Kraig McPeek U.S. Fish and Wildlife Service, IIFO Sara Schmuecker U.S. Fish and Wildlife Service, IIFO U.S. Fish and Wildlife Service, IIFO Matt Mangan

Tim Yager U.S. Fish and Wildlife Service, UMR Refuges

Jeff Houser
Jennifer Dieck
U.S. Geological Survey, UMESC
U.S. Geological Survey, UMESC
U.S. Geological Survey, UMESC
U.S. Geological Survey, UMESC
JC Nelson
U.S. Geological Survey, UMESC
Molly Van Appledorn
Scott Gritters
U.S. Geological Survey, UMESC
U.S. Geological Survey, UMESC
Iowa Department of Natural Resources
Steve Galarneau
Wisconsin Department of Natural Resources

Olivia Dorothy American Rivers

Doug Daigle Lower Mississippi River Sub-basin Committee

Rick Stoff Stoff Communications
Doug Blodgett The Nature Conservancy

Mike Klingner Upper Mississippi, Illinois, and Missouri Rivers Association

Tom Boland Wood

| Kirsten Wallace   | Upper Mississippi River Basin Association |
|-------------------|---|
| Andrew Stephenson | Upper Mississippi River Basin Association |
| Mark Ellis        | Upper Mississippi River Basin Association |
| Lauren Salvato    | Upper Mississippi River Basin Association |
| Janelle Gaun      | Upper Mississippi River Basin Association |

| ATTACHMENT B   |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Regional Management and Partnership Collaboration      |  |  |  |  |  |  |  |
| UMRR Quarterly Budget Reports (11/2/2021) (B-1 to B-3) |  |  |  |  |  |  |  |
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# UMRR Quarterly Budget Report: St. Paul District FY2021 Q4; Report Date: Tue Nov 02 2021

**Habitat Projects** 

|   |             | Cost Estimates |              | FY2021 Financials |             |                 |                       |
|---|-------------|----------------|--------------|-------------------|-------------|-----------------|-----------------------|
| Project Name  | Non-Federal | Federal        | Total        | Carry In          | Allocation  | Funds Available | Actual<br>Obligations |
| Bass Ponds,<br>Marsh, and<br>Wetland                | -           | \$6,300,000    | \$6,300,000  | -                 | \$300,000   | \$300,000       | \$864,402             |
| Conway Lake   | -           | \$7,413,000    | \$7,413,000  | \$39,645          | \$300,000   | \$339,645       | \$366,726             |
| Harpers Slough                                      | -           | \$13,675,000   | \$13,675,000 | -                 | -           | -               | \$2,486,680           |
| Lower Pool 10<br>Island and<br>Backwater<br>Complex | -           | \$17,000,000   | \$17,000,000 | \$12,700          | \$350,000   | \$362,700       | \$279,978             |
| McGregor Lake                                       | -           | \$23,550,000   | \$23,550,000 | -                 | \$5,875,000 | \$5,875,000     | \$2,652,074           |
| Pool Slough   | \$175,000   | \$518,000      | \$693,000    | -                 | -           | -               | -\$34,468             |
| Reno Bottoms  | -           | \$10,000,000   | \$10,000,000 | \$105,337         | \$450,000   | \$555,337       | \$436,736             |
| Total   | \$175,000   | \$86,856,000   | \$87,031,000 | \$157,683         | \$7,275,000 | \$7,432,683     | \$7,052,129           |

# **Habitat Rehabilitation**

| Subcategory                 | FY2021 Financials |            |                 |             |
|-----------------------------|-------------------|------------|-----------------|-------------|
| Subcategory                 | Carry In          | Allocation | Funds Available | Obligations |
| District Program Management | -                 | -          | -               | \$660,897   |
| Total                       | -                 | -          | -               | \$660,897   |

# **Regional Program Administration**

| Subcategory             | FY2021 Financials |            |                 |             |
|-------------------------|-------------------|------------|-----------------|-------------|
| Subcategory             | Carry In          | Allocation | Funds Available | Obligations |
| Habitat Eval/Monitoring | -                 | -          | -               | \$299,508   |
| Total                   | -                 | -          | -               | \$299,508   |

| Carry In       |           | Allocation  | Funds Available | Actual Obligations |  |
|----------------|-----------|-------------|-----------------|--------------------|--|
| St. Paul Total | \$157,683 | \$7,275,000 | \$7,432,683     | \$8,012,533        |  |

# UMRR Quarterly Budget Report: Rock Island District FY2021 Q4; Report Date: Tue Nov 02 2021

# **Habitat Projects**

|                          |             | Cost Estimates |               | FY2021 Financials |             |                 |                       |
|--------------------------|-------------|----------------|---------------|-------------------|-------------|-----------------|-----------------------|
| Project Name             | Non-Federal | Federal        | Total         | Carry In          | Allocation  | Funds Available | Actual<br>Obligations |
| Beaver Island            | -           | \$25,288,000   | \$25,288,000  | \$40,000          | \$1,025,000 | \$1,065,000     | \$1,247,872           |
| Green Island, IA         | -           | \$16,600,000   | \$16,600,000  | -                 | \$500,000   | \$500,000       | \$550,902             |
| Huron Island             | -           | \$15,773,000   | \$15,773,000  | \$43,305          | \$100,000   | \$143,305       | -\$149,213            |
| Keithsburg<br>Division   | -           | \$29,643,000   | \$29,643,000  | -                 | \$3,945,000 | \$3,945,000     | \$3,044,334           |
| Lower Pool 13            | -           | \$25,288,000   | \$25,288,000  | \$42,666          | \$350,000   | \$392,666       | \$603,952             |
| Pool 12<br>(Forestry)    | -           | -              | -             | \$84,173          | \$500,000   | \$584,173       | \$432,738             |
| Pool 12<br>Overwintering | -           | \$20,870,822   | \$20,870,822  | \$99,267          | -           | \$99,267        | \$179,719             |
| Quincy Bay, IL           | -           | -              | -             | -                 | \$250,000   | \$250,000       | \$47,053              |
| Rice Lake, IL            | \$7,280,000 | \$13,459,763   | \$20,739,763  | -                 | -           | -               | \$62,138              |
| Steamboat<br>Island      | -           | \$41,977,000   | \$41,977,000  | \$50,000          | \$350,000   | \$400,000       | \$420,673             |
| Total                    | \$7,280,000 | \$188,899,585  | \$196,179,585 | \$359,411         | \$7,020,000 | \$7,379,411     | \$6,440,168           |

# **Habitat Rehabilitation**

| Subcategory                 | FY2021 Financials |            |                 |             |
|-----------------------------|-------------------|------------|-----------------|-------------|
| Subcategory                 | Carry In          | Allocation | Funds Available | Obligations |
| District Program Management | -                 | -          | -               | \$515,215   |
| Total                       | -                 | -          | -               | \$515,215   |

# **Regional Program Administration**

| Subcategory                       | FY2021 Financials |             |                 |             |
|-----------------------------------|-------------------|-------------|-----------------|-------------|
| Subcategory                       | Carry In          | Allocation  | Funds Available | Obligations |
| Adaptive Management               | -                 | \$200,000   | \$200,000       | \$211,423   |
| Habitat Eval/Monitoring           | -                 | \$1,125,000 | \$1,125,000     | \$284,216   |
| Model Certification/Regional HREP | -                 | \$100,000   | \$100,000       | \$39,248    |
| Public Outreach                   | -                 | \$50,000    | \$50,000        | \$36,615    |
| Regional Program Management       | -                 | \$1,200,000 | \$1,200,000     | \$1,077,301 |
| Regional Project Sequencing       | -                 | \$275,000   | \$275,000       | \$32,702    |
| Total                             | -                 | \$2,950,000 | \$2,950,000     | \$1,681,506 |

# **Regional Science and Monitoring**

| Subcategory                                  | FY2021 Financials |             |                 |             |  |  |
|--|-------------------|-------------|-----------------|-------------|--|--|
| Subcategory                                  | Carry In          | Allocation  | Funds Available | Obligations |  |  |
| Long Term Resource Monitoring                | -                 | \$5,000,000 | \$5,000,000     | \$5,232,102 |  |  |
| Science in Support of Restoration/Management | -                 | \$3,800,000 | \$3,800,000     | \$3,931,344 |  |  |
| Total  | -                 | \$8,800,000 | \$8,800,000     | \$9,163,446 |  |  |

|                   | Carry In  | Allocation   | Funds Available | Actual Obligations |  |
|-------------------|-----------|--------------|-----------------|--------------------|--|
| Rock Island Total | \$359,411 | \$18,770,000 | \$19,129,411    | \$17,800,335       |  |

# UMRR Quarterly Budget Report: St. Louis District FY2021 Q4; Report Date: Tue Nov 02 2021

**Habitat Projects** 

|                                   |             | Cost Estimates |               | FY2021 Financials |             |                 |                       |  |
|-----------------------------------|-------------|----------------|---------------|-------------------|-------------|-----------------|-----------------------|--|
| Project Name                      | Non-Federal | Federal        | Total         | Carry In          | Allocation  | Funds Available | Actual<br>Obligations |  |
| Clarence<br>Cannon                | -           | \$29,800,000   | \$29,800,000  | -                 | \$850,000   | \$850,000       | \$460,467             |  |
| Crains Island                     | -           | \$36,562,000   | \$36,562,000  | \$6,228           | \$4,000,000 | \$4,006,228     | \$1,251,742           |  |
| Harlow Island                     | -           | \$37,971,000   | \$37,971,000  | -                 | \$450,000   | \$450,000       | \$22,727              |  |
| Oakwood<br>Bottoms                | -           | \$29,000,000   | \$29,000,000  | -                 | \$350,000   | \$350,000       | \$1,255,271           |  |
| Piasa - Eagle's<br>Nest Islands   | -           | \$26,746,000   | \$26,746,000  | -                 | \$825,000   | \$825,000       | \$3,306,021           |  |
| Ted Shanks                        | -           | \$29,506,000   | \$29,506,000  |                   | -           | -               | \$101,611             |  |
| West Alton<br>Missouri<br>Islands | -           | -              | -             | -                 | \$275,000   | \$275,000       | \$225,044             |  |
| Yorkinut<br>Slough, IL            | -           | \$8,500,000    | \$8,500,000   | \$2,718           | \$225,000   | \$227,718       | \$330,693             |  |
| Total                             | \$2,848,000 | \$204,549,000  | \$207,397,000 | \$8,947           | \$7,125,000 | \$7,133,947     | \$6,953,576           |  |

# **Habitat Rehabilitation**

| Subcategory                 | FY2021 Financials |            |                 |             |  |
|-----------------------------|-------------------|------------|-----------------|-------------|--|
| Subcategory                 | Carry In          | Allocation | Funds Available | Obligations |  |
| District Program Management | -                 | -          | -               | \$447,526   |  |
| Total                       | -                 | -          | -               | \$447,526   |  |

# Regional Program Administration

| Subcategory             | FY2021 Financials |            |                 |             |  |
|-------------------------|-------------------|------------|-----------------|-------------|--|
| Subcategory             | Carry In          | Allocation | Funds Available | Obligations |  |
| Habitat Eval/Monitoring | -                 | -          | -               | \$70,004    |  |
| Total                   | -                 | -          | -               | \$70,004    |  |

|                 | Carry In | Allocation  | Funds Available | Actual Obligations |  |
|-----------------|----------|-------------|-----------------|--------------------|--|
| St. Louis Total | \$8,947  | \$7,125,000 | \$7,133,947     | \$7,471,106        |  |

| ATTACHMENT C   |
|--|
| Communications and Outreach  • UMRR Flyer (10/2021) (C-1 to C-2) |
| GWINTER 11901 (10/2021) (0-1/10/0-2)                             |
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For over 35 years, the Upper Mississippi River Restoration program partnership implemented innovative and sustainable restoration, research, and monitoring techniques for a healthier Upper Mississippi River System.



# A WORKING RIVER IN NEED

The mighty Mississippi River is one of the world's most famous rivers, flowing through America's heartland to the Gulf of Mexico. It provides critical and nationally important:



Drinking water & power supply



Recreation & ecotourism



**Commercial navigation** & transporation =



Dams & levees, climate change, and land use changes in the Upper Mississippi River System contribute to: altered water cycle, decreased amount and quality of habitat, and reduced water quality.

A partnership of federal and state agencies, non-governmental organizations, and individuals work together to address these past and ongoing challenges through the Upper Mississippi River Restoration (UMRR) program.



The UMRR program supports Upper Mississippi River restoration, research, and monitoring.

# RESTORING OUR RIVER

Through Long Term Resource Monitoring (LTRM) and Habitat Rehabilitation and Enhancement Projects (HREPs), the UMRR program successfully restores habitat to combat degradation.

WHY MONITOR? By collecting and evaluating LTRM water, fish, land use, and vegetation data over decades, scientists can assess the health of the river and target habitat restoration projects and management actions for the greatest benefit of the river and the public.

WHY RESTORE? Humans have changed the river; habitat restoration techniques address the negative impacts of past and ongoing changes.

Connecting and **Protecting the Upper** Mississippi River System in

shoreline protection

▶ island creation

water level management

**5 STATES** 

dredging

habitat enhancement through

The UMRR program uses state-of-the-art research and monitoring to understand changing environmental conditions of the river. Using effective and science-based restoration methods, the UMRR supports a healthier and more resilient Upper Mississippi River System.































# The Upper Mississippi River System is a NATIONALLY SIGNIFICANT RESOURCE

# NATURAL RESOURCES Habitat projects have restored and connected more than 100,000 acres along the Upper Mississippi River, with an additional 65,000 acres of habitat projects planned for the next decade. These projects provide vital habitat for diverse fish and wildlife species, including rare and



endangered species.

# **BIRDS**

More than 40% of North American migrating birds use the Mississippi River corridor as their migration route. Restoring forests and wetlands improves bird habitat and provides opportunities for hunting and birdwatching.



MISSOU

LTRM monitoring stations

in-progress habitat projects

completed habitat projects

# **AQUATIC LIFE**

Wetlands and backwater lakes provide habitat for many valued ° fish and aquatic species. Millions of people enjoy fishing and boating on the Upper Mississippi River System each year.



# **FORESTS**

Forest corridors provide habitat for wildlife species, opportunities for wildlife viewing and hunting, and connect communities and animals to the river. The health of floodplain forests and wet prairies along the river contribute to improved quality of drinking water for millions of people.

The Upper Mississippi River System provides cultural, recreational, ecological, and economic value to communities and Tribal Nations who reside in the river's watershed.

The UMRR program and partnership improves and supports these values for present and future generations.

# **ATTACHMENT D**

# **Program Reports**

- Long Term Resource Monitoring and Science
  - Base Monitoring Scope of Work thru 4th Quarter of FY 2021 (11/1/2021) (D-1 to D-5)
  - FY 2021 UMRR Science Activities in Support of Restoration and Management (11/1/2021) (D-6 to D-14)
  - FY 2014 and FY 2015 UMRR Science Activities in Support of Restoration and Management (10/26/2021) (D-15)

| Tracking     | Milestone   | Original    | Modified    | Date        | Comments               | Lead                                    |
|--------------|---|-------------|-------------|-------------|------------------------|---|
| number       |   | Target Date | Target Date | Completed   |                        |   |
| Aquatic Vege | etation Component   |             |             |             |                        |   |
| 2021A1       | Complete data entry and QA/QC of 2020 data; 1250 observations.  |             |             |             |                        |   |
|              | a. Data entry completed and submission of data to USGS  | 30-Nov-2020 |             | 30-Nov-2020 |                        | Lund, Drake, Bales                      |
|              | b. Data loaded on level 2 browsers  | 15-Dec-2020 |             | 15-Dec-2020 |                        | Schlifer                                |
|              | c. QA/QC scripts run and data corrections sent to Field Stations  | 28-Dec-2020 |             | 28-Dec-2020 |                        | Sauer, Schlifer                         |
|              | d. Field Station QA/QC with corrections to USGS   | 15-Jan-2021 |             | 15-Jan-2021 |                        | Lund, Drake, Bales                      |
|              | e. Corrections made and data moved to public Web Browser  | 30-Jan-2021 |             | 30-Jan-2021 |                        | Larson, Schlifer, Caucutt               |
| 2021A2       | Web-based: Creating surface distribution maps for aquatic plant species in Pools 4, 8, and 13; 2020 data  | 31-Jul-2021 |             |             |                        | Larson, Schlifer                        |
| 2021A3       | Wisconsin DNR annual summary report 2020 that combines current year observations from LTRM with previous years' data, for the fish, aquatic vegetation, and water quality components. | 30-Sep-2021 |             |             | Lead took new position | Drake, Bartels, Hoff, Kalas,<br>Carhart |
| 2021A4       | Complete aquatic vegetation sampling for Pools 4, 8, and 13 (Table 1)   | 31-Aug-2021 |             |             |                        | Larson, Lund, Drake, Fopma              |
| 2021A5       | Pool 4: Graphical summary and maps of aquatic vegetation current status and long-term trends.   | 30-Dec-2021 |             | 26-Oct-2021 |                        | Lund                                    |
| 2021A6       | Pool 8: Graphical summary and maps of aquatic vegetation current status and long-term trends.   | 30-Dec-2021 |             | 17-Sep-2021 |                        | Drake, Carhart                          |
| 2021A6       | Pool 13: Graphical summary and maps of aquatic vegetation current status and long-term trends.  | 30-Dec-2021 |             | 13-Oct-2021 |                        | Fopma                                   |

#### Intended for distribution

LTRM completion report: Evaluation of a "Trace" Plant Density Score in LTRM Vegetation Monitoring (Completed; sent out 10/25/2021)

Manuscript: Estimated annual summer submersed aquatic macrophyte standing stocks (1998 - 2018) in three large reaches of the Upper Mississippi River. (2020A8; at journal for review, IP 122160)

Manuscript: Species-specific wet-dry mass calibrations for common submersed macrophytes in the Upper Mississippi River (2020A9; Completed: Aquatic Botany Volume 169, https://doi.org/10.1016/j.aquabot.2020.103344)

|  | nponent |
|--|---------|
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| 2021B1 | Complete data entry, QA/QC of 2020 fish data;   |             |             |  |
|--------|---|-------------|-------------|--|
|        | ~1,590 observations   |             |             |  |
|        | a. Data entry completed and submission of data to USGS  | 31-Jan-2021 | 31-Jan-2021 | DeLain, Bartels, Bowler, Hine,<br>Kueter, Gittinger,West,<br>Solomon, Maxson |
|        | b. Data loaded on level 2 browsers; QA/QC scripts run and data corrections sent to Field Stations | 15-Feb-2021 | 15-Feb-2021 | Ickes, Schlifer  |
|        | c. Field Station QA/QC with corrections to USGS   | 15-Mar-2021 | 15-Mar-2021 | DeLain, Bartels, Kueter, Hine,<br>Gittinger, West, Solomon,<br>Maxson        |

D-1

| Tracking  | Milestone   | Original     | Modified               | Date         | Comments                         | Lead                           |
|-----------|---|--------------|------------------------|--------------|----------------------------------|--------------------------------|
| number    |   | Target Date  | Target Date            | Completed    |                                  |                                |
|           | d. Corrections made and data moved to public        | 30-Mar-2021  |                        | 30-Mar-2021  |                                  | Ickes and Schlifer             |
|           | Web Browser   |              |                        |              |                                  |                                |
| 2021B2    | Update Graphical Browser with 2020 data on          | 31-May-2021  |                        | 31-May-2021  |                                  | Ickes and Schlifer             |
|           | Public Web Server.                                  |              |                        |              |                                  |                                |
|           | Complete fisheries sampling for Pools 4, 8, 13, 26, |              |                        |              |                                  | DeLain, Bartels, Kueter, Hine, |
| 2021B3    | the Open River Reach, and La Grange Pool (Table 1)  | 31-Oct-2021  |                        | 31-Oct-2021  |                                  | Gittinger, West, Solomon,      |
|           |   |              |                        |              |                                  | Maxson                         |
|           | IDNR Fisheries Management State Report: Fisheries   |              |                        |              | Delayed due to staff retirement; |                                |
| 2021B4    | Monitoring in Pool 13, Upper Mississippi            | 30-Jun-2021  | 30-Jun-2022            |              | 2020 and 2021 data will be       | Kueter                         |
|           | River, 2020   |              |                        |              | incorporated                     |                                |
| 2021B5    | Sample collection, database increment on Asian carp | 31-Jan-2021  |                        | 31-Jan-2021  |                                  | Solomon, Maxson                |
| 202165    | age and growth: collection of cleithral bones       | 31 Juli 2021 |                        | 31 Juli 2021 |                                  | Solomon, Waxson                |
| 2021B8(D) | Database increment: Stratified random day           | 30-Sep-2021  |                        |              | Delayed due to staff retirement; | Kueter                         |
|           | electrofishing samples collected in Pools 9–11      |              | 31-Dec-2021            |              | 2020 and 2021 data will be       |                                |
|           |   |              |                        |              | incorporated                     |                                |
| 2021B9(D) | Database increment: Stratified random day           | 30-Sep-2021  |                        |              | Delayed due to staff retirement; | Kueter                         |
|           | electrofishing samples collected in Pools 16–18     |              | 31-Dec-2021            |              | 2020 and 2021 data will be       |                                |
|           |   |              |                        |              | incorporated                     |                                |
|           |   | Into         | ended for distribution | n            |                                  |                                |

#### Intended for distribution

LTRM Completion report, compilation of 3 years of sampling: Fisheries (2009R1Fish; Chick et al.) (in USGS review; minor grammatical corrections needed then will be posted on LTRM Fish

Manuscript: A synthesis on river floodplain connectivity and lateral fish passage in the Upper Mississippi River (2021B11; Submitted to USGS review; IP-123678)

LTRM Fact Sheet: Tree map tool for visualizing fish data, with example of native versus non-native fish biomass (2013B16) (Programming code for TreeMap being re-written; once completed Fact Sheet will be completed)

| <b>Water Qua</b> | lity Component   |             |             |  |
|------------------|--|-------------|-------------|--|
| 2021D1           | Complete calendar year 2020 fixed-site and SRS water quality sampling  | 31-Dec-2020 | 31-Dec-2020 | Jankowski, Burdis, Kalas, Kueter,<br>L.                                |
| 2021D2           | Complete laboratory sample analysis of 2020 fixed site and SRS data; Laboratory data loaded to Oracle data base. | 15-Mar-2021 | 15-Mar-2021 | Yuan, Schlifer   |
| 2021D3           | 1st Quarter of laboratory sample analysis (~12,600)  | 30-Dec-2020 | 30-Dec-2020 | Yuan, Manier, Burdis, Kalas,<br>Kueter, L. Gittinger, Cook,<br>Fulgoni |
| 2021D4           | 2nd Quarter of laboratory sample analysis (~12,600)  | 30-Mar-2021 | 30-Mar-2021 | Yuan, Manier, Burdis, Kalas,<br>Kueter, L. Gittinger, Cook,<br>Fulgoni |
| 2021D5           | 3rd Quarter of laboratory sample analysis (~12,600)  | 29-Jun-2021 | 29-Jun-2021 | Yuan, Manier, Burdis, Kalas,<br>Kueter, L. Gittinger, Cook,<br>Fulgoni |
| 2021D6           | 4th Quarter of laboratory sample analysis (~12,600)  | 28-Sep-2021 | 28-Sep-2021 | Yuan, Manier, Burdis, Kalas,<br>Kueter, L. Gittinger, Cook,<br>Fulgoni |

11/1/2021 D-2

| Tracking | Milestone   | Original    | Modified   | Date             | Comments                          | Lead                              |
|----------|---|-------------|--|------------------|-----------------------------------|-----------------------------------|
| number   |   | Target Date | Target Date  | Completed        |                                   |                                   |
| 2021D7   | Complete QA/QC of calendar year 2020 fixed-site             |             |  |                  |                                   |                                   |
|          | and SRS data.   |             |  |                  |                                   |                                   |
|          | a. Data loaded on level 2 browsers; QA/QC scripts           |             |  |                  |                                   |                                   |
|          | run; SAS QA/QC programs updated and sent to                 | 30-Mar-2021 |  | 30-Mar-2021      |                                   | Schlifer, Jankowski               |
|          | Field Stations with data.                                   |             |  |                  |                                   |                                   |
|          | b. Field Station QA/QC; USGS QA/QC.                         | 15-Apr-2021 |  | 15-Apr-2021      |                                   | Jankowski, Burdis, Kalas, Kueter, |
|          |   |             |  |                  |                                   | L.                                |
|          |   |             |  |                  |                                   | Gittinger, Kellerhals, Fulgoni    |
|          | c. Corrections made and data moved to public                | 30-Apr-2021 |  | 30-Apr-2021      |                                   | Schlifer, Jankowski               |
|          | Web Browser Complete FY2020 fixed site and SRS sampling for |             |  |                  |                                   | Jankowski, Burdis, Kalas, Kueter, |
| 2021D8   |   | 30-Sep-2021 |  | 30-Sep-2021      |                                   |                                   |
| 202100   | Pools 4, 8, 13, 26, Open River Reach, and La Grange         | 30-3ep-2021 |  | 30-3ep-2021      |                                   | L. Gittinger, Kellerhals, Fulgoni |
| 2021D9   | WEB-based annual Water Quality Component                    | 30-May-2021 |  | 30-May-2021      |                                   | Schlifer, Jankowski               |
|          | Update w/2020 data on Server.                               | , ,         |  | , ,              |                                   |                                   |
| 2021D10  | Operational Support to the UMRR LTRM Element.               | 30-Sep-2021 |  | 30-Sep-2021      |                                   | Kalas, Hoff, Bartel, Drake        |
|          | Serve as in-house Field Station for USGS for                |             |  |                  |                                   |                                   |
|          | consultation and support on various LTRM-wide               |             |  |                  |                                   |                                   |
|          | topics  |             |  |                  |                                   |                                   |
|          |   |             | On-Going   | <u> </u>         |                                   |                                   |
| 2019D12  | Draft LTRM Completion Report: Assessment of                 | 30-Dec-2019 | 30-Jun-2022  |                  | Fulgoni took new position         | Fulgoni and Jankowski             |
|          | Phytoplankton Samples collected by the Upper                |             |  |                  |                                   |                                   |
|          | Mississippi River Restoration Program-Long Term             |             |  |                  |                                   |                                   |
|          | Resource Monitoring Water Quality Component                 |             |  |                  |                                   |                                   |
| 2020D12  | Final LTRM Completion Report: Assessment of                 | 30-Mar-2021 | 30-Dec-2022  |                  |                                   | Fulgoni and Jankowski             |
|          | Phytoplankton Samples collected by the Upper                |             |  |                  |                                   |                                   |
|          | Mississippi River Restoration Program-Long Term             |             |  |                  |                                   |                                   |
|          | Resource Monitoring Water Quality Component                 |             |  |                  |                                   |                                   |
|          | Draft LTRM Completion report: Evaluation of water           |             |  | Waite, T., K.J.  | Jankowski, D.A. Bruesewitz, M.    | Soeken-Gittinger, Lubinski,       |
|          | quality data from automated sampling                        |             |  | Johnson, J.N. Ho | user, D.A. Burnham, B. Bennie, M. | Chick, Houser                     |
|          | platforms   | 20.0 2017   | 20 Dr : 2024   | Van Appledor     | n. Storm characteristics affect   |                                   |
| 2017D10  |   | 30-Sep-2017 | 30-Dec-2021 biogeochemical responses differently in lentic and |                  |                                   |                                   |
|          |   |             |  | lotic areas of   | a large river. In prep for Water  |                                   |
|          |   |             |  | R                | esources Research.                |                                   |
|          |   | lat         | l<br>ended for distributi                                      | <u> </u>         |                                   | 1                                 |

#### Intended for distribution

Completion report, compilation of 3 years of sampling: Water Quality (2009R1WQ; Giblin, Burdis) (in USGS review; minor grammatical corrections needed then will be posted on LTRM WQ page)

Manuscript: Nutrients and dissolved oxygen in the UMRS: improving our understanding of winter conditions and their implications for structure and function of the river (2014D12; Houser) (under revision)

D-3

| Tracking            | Milestone  | Original    | Modified   | Date Comments    |                                 | Lead                    |
|---------------------|--|-------------|--|------------------|---------------------------------|-------------------------|
| number              |  | Target Date | Target Date  | Completed        |                                 |                         |
| <b>Spatial Data</b> | Component  |             |  |                  |                                 |                         |
| 2021SD1             | Aerial Photo scanning (ILR)                        | 30-Sep-2021 |  |                  |                                 | Strange                 |
| 2021SD2             | 3D Vegetation Mapping Solution Report              | 30-Jun-2021 | TBD  |                  | Delayed due to lack of computer | Finley                  |
|                     |  |             |  |                  | hardware, ready to proceed      |                         |
|                     |  |             |  |                  | when graphics cards and VR      |                         |
|                     |  |             |  |                  | headsets are available          |                         |
| 2021SD3             | 4-Band to 3D Product SOP                           | 30-Jun-2021 |  | 30-Jun-2021      | Exploring ways to host the      | Finley                  |
|                     |  |             |  |                  | technical reports on-line       |                         |
| 2021SD4             | Google Earth Help Webpage                          | 31-Dec-2020 |  | 31-Dec-2020      | Exploring ways to host on-line  | Finley                  |
| 2021SD5             | Co-Located Aerial LIDAR/SAR Report                 | 30-Sep-2021 |  | 19-Aug-2021      | In USGS review                  | Finley                  |
| 2021SD6             | Survey Capability Report and Historic Spatial      | 31-Dec-2020 |  |                  | Fieldwork to be completed by 6- | Finley                  |
|                     | Database for LCU Mapping                           |             |  |                  | August 2021                     |                         |
| 2021SD7             | Draft topobathy strategic plan                     | 30-Sep-2021 | 31-Dec-2021  |                  |                                 | Strange, De Jager       |
| 2021SD8             | Maintenance ArcGIS server                          | 30-Sep-2021 |  |                  | 30-Sep-2021                     | Hlavacek, Fox, Rohweder |
|                     | Status and Trends Report: continued data analysis  |             |  |                  |                                 |                         |
| 2021SD9             | and report writing for status and trends in land / | 30-Sep-2021 |  | 30-Sep-2021      |                                 | De Jager                |
|                     | water cover indicators.                            |             |  |                  |                                 |                         |
|                     | Draft Report: Evaluating effects of alternative    |             |  |                  |                                 |                         |
| 2021SD10            | flooding scenarios on forest succession and        | 30-Sep-2021 | 30-Sep-2022  |                  | Changing to a manuscript        | De Jager                |
|                     | landcover in the UMRS.                             |             |  |                  |                                 |                         |
| Data Manage         | ement  |             |  |                  | •                               |                         |
|                     | Update vegetation, fisheries, and water quality    |             |  |                  |                                 |                         |
| 2021M1              | component field data entry and correction          | 30-May-2021 |  | 30-May-2021      |                                 | Schlifer                |
|                     | applications.                                      |             |  |                  |                                 |                         |
|                     | Load 2020 component sampling data into Database    |             |  |                  |                                 |                         |
| 2021M2              | tables and make data available on Level            | 30-Jun-2021 |  | 30-Jun-2021      |                                 | Schlifer                |
|                     | 2 browsers for field stations to QA/QC.            |             |  |                  |                                 |                         |
|                     | Assist LTRM Staff with development and review of   |             |  |                  |                                 |                         |
| 2021M3              | metadata and databases in conjunction with         | On-going    |  |                  |                                 | Schlifer                |
|                     | publishing of reports and manuscripts              |             |  |                  |                                 |                         |
| Status and Ti       | rends 3rd edition                                  |             |  |                  |                                 |                         |
| 2021ST1             | Draft Report out for Peer Review                   | 16-Oct-2020 | 4-Nov-2020   | 4-Nov-2020       |                                 | All                     |
| 2021ST2             | Revised draft to USGS publishing network           | 26-Feb-2021 | 30-May-2021  | 19-Apr-2021      |                                 | All                     |
| 2021ST3             | Revised draft to UMESC Center Director and USGS    | 23-Apr-2021 | 30-Jun-2021  |                  | Still under edit by the USGS    | All                     |
|                     | Bureau Approving Official                          |             |  |                  | Publishing network              |                         |
| 2021ST4             | Final publication                                  | 28-May-2021 |  |                  |                                 | All                     |
| 2020ST4             | Draft S&T3 Fact Sheet                              | TBD         |  |                  | Tied to completion of S&T3      | All                     |
| Quarterly Ac        |  | _           | _  |                  |                                 |                         |
| 2021QR1             | Submittal of quarterly activities                  | 30-Jan-2021 |  | 30-Jan-2021      |                                 | All                     |
| 2021QR2             | Submittal of quarterly activities                  | 13-Apr-2021 |  | 13-Apr-2021      |                                 | All                     |
| 2021QR3             | Submittal of quarterly activities                  | 13-Jul-2021 | Decision to postpone these quarterly activities until other priorities |                  |                                 |                         |
| 2021QR4             | Submittal of quarterly activities                  | 12-Oct-2021 | finalized  | S&T, RTC, Implen | nentation planning, etc.        | All                     |
| Equipment In        |  |             |  | _                |                                 |                         |
| 2021ER1             | Property inventory and tracking                    | 15-Nov-2021 |  | 23-Aug-2021      |                                 | LTRM staff as needed    |
| <b>UMRR LTRM</b>    | Virtual All-Hands Component Meeting                |             |  |                  |                                 |                         |

D-4

11/1/2021

| Tracking | Milestone                           | Original    | Modified    | Date        | Comments | Lead |
|----------|-------------------------------------|-------------|-------------|-------------|----------|------|
| number   |                                     | Target Date | Target Date | Completed   |          |      |
| 2021VAH1 | Virtual All-Hands Component Meeting | 30-31 March |             | 30-31 March |          | All  |
|          |                                     | 2021        |             | 2021        |          |      |

D-5

| Tracking<br>number | Milestone   | Original Target Date | Modified Target  Date  | Date<br>Completed   | Comments  | Lead                  |
|--------------------|---|----------------------|------------------------|---|---|-----------------------|
| Developing an      | d Applying Indicators of Ecosystem Resilience to the  | ne UMRS              |                        |   |   |                       |
| 2021R1             | Updates provided at quarterly UMRR CC meeting and   | Various              |                        |   |   | Bouska, Houser        |
| 2021R2             | Submit aquatic vegetation manuscript for peer review publication  | 30-Mar-2021          |                        | 1-Feb-2021  |   |                       |
| 2021R3             | Submit resilience assessment synthesis manuscript for peer review publication   | 30-Mar-2021          | TBD into FY22          |   |   |                       |
| 2021R4             | Submit resilience assessment synthesis fact sheet for   | 30-Sep-2021          | TBD into FY22          |   |   |                       |
| 2021R5             | Submit manuscript that investigates associations between general and specified resilience for peer review publication         | 30-Sep-2021          |                        |   | Changed to<br>managment<br>implications<br>manuscript                             |                       |
|                    |   |                      | Intended for Distribut |   |   |                       |
| ecosystem. Jou     | ouska, K. L., J. N. Houser, N. R. De Jager, D. C. Drake, urnal of Environmental Management Volume 264 h                       | ttps://doi.org/10    | 1016/j.jenvman.2020.   | 110516  |   |                       |
| Assessing rece     | nt rates of sedimentation in the backwaters of Poo  | ols 4, 8, and 13 to  | support river restorat |   | leeds Assessment-   | II .                  |
| 2018ST3            | Over-ice surveys completed along with a database (Continuation of 2017ST3)  | 30-Mar-2018          | 30-Mar-2020            | Pool 13 Delayed due to Covid-19 state travel restrictions, now tracking |   | Moore, Kalas, Bierman |
| Landscape Pat      | tern Research and Application   | •                    |                        |   |   |                       |
| 2021LP1            | Geospatial analyses in support of the Forest Gap project  | 30-Aug-2021          | 30-Sep-2022            |   | Field work data<br>for analysis<br>delayed due to<br>Covid-19                     | Rohweder              |
| 2021LP2            | Support for developing topobathymetry plan  | 30-Sep-2021          |                        | 30-Sep-2021   | Completed; Draft<br>document is in<br>the works. Work<br>will continue in<br>FY22 | Stone et al.          |
| 2021LP3            | Analysis; Evaluating effects of alternative flooding scenarios on forest succession in the UMRS. Potential manuscript in 2021 | 30-Sep-2021          |                        | 30-Sep-2021   | Completed   | Rohweder              |
|                    | Data Development: Developing seasonal aquatic areas maps to support aquatic habitat mapping                                   | 30-Sep-2021          |                        |   | Currently working on ideas related  | Rohweder              |
| 2021LP4            | and analysis.   |                      |                        |   | to this task  |                       |

D-6

Manuscript: Review of Landscape Ecology on the UMR; De Jager; 2016L3

11/1/2021

| Eco-hydrologic Research  2020EH02   Submit manuscript of temporal patterns in UMRS inundation regimes for peer review   30-Sep-2021   30-Sep-22  | Tracking<br>number | Milestone  | Original Target Date | Modified Target  Date | Date<br>Completed | Comments     | Lead                     |  |  |  |  |
|--|--------------------|--|----------------------|-----------------------|-------------------|--------------|--------------------------|--|--|--|--|
| inundation regimes for peer review  Draft manuscript of temporal and spatial trends of large wood in the UMRS and potential ecohydrologic drivers  Draft manuscript of UMRS floodplain forest classification  Delayed due to ST3 priority switch  Van Appledorn, Jankowski  Van Appledorn, De Jager  Van Appledorn, De Jager  Van Appledorn, De Jager  Van Appledorn, De Jager  Spatial analyses of UMRS geomorphic channel and/or delta features (e.g., slope, width, complexity, geomorphons, shoaling, etc.) to understand hydrogeomorphic constraints on  30-Sep-2021  30-Sep-2021  30-Sep-2021  30-Sep-2021  30-Sep-2021  30-Sep-2021  30-Sep-2021  Van Appledorn |                    |  |                      |                       |                   |              |                          |  |  |  |  |
| 2021EH01 of large wood in the UMRS and potential ecohydrologic drivers  2021EH02 Draft manuscript of UMRS floodplain forest classification  Spatial analyses of UMRS geomorphic channel and/or delta features (e.g., slope, width, complexity, geomorphons, shoaling, etc.) to understand hydrogeomorphic constraints on  30-Sep-2021  30-Dec-21  30-Dec-21  30-Dec-21  30-Jun-22  30-Jun-22  30-Jun-22  30-Sep-2021  30-Sep-2021  30-Sep-2021  30-Sep-2021  30-Sep-2021  30-Sep-2021  30-Sep-2021   |                    |  | 30-Sep-2021          | 30-Sep-22             |                   |              |                          |  |  |  |  |
| classification  Spatial analyses of UMRS geomorphic channel and/or delta features (e.g., slope, width, complexity, geomorphons, shoaling, etc.) to understand hydrogeomorphic constraints on  30-Jun-22  Spatial analyses of UMRS geomorphic channel and/or delta features (e.g., slope, width, complexity, geomorphons, shoaling, etc.) to understand hydrogeomorphic constraints on  30-Sep-2021  Van Appledorn  | 2021EH01           | of large wood in the UMRS and potential eco-   | 30-Sep-2021          | 30-Dec-21             |                   | ST3 priority | Van Appledorn, Jankowski |  |  |  |  |
| and/or delta features (e.g., slope, width, complexity, geomorphons, shoaling, etc.) to understand hydrogeomorphic constraints on  30-Sep-2021  Van Appledorn   | 2021EH02           |  | 30-Sep-2021          | 30-Jun-22             |                   |              | Van Appledorn, De Jager  |  |  |  |  |
|  | 2021EH03           | and/or delta features (e.g., slope, width, complexity, geomorphons, shoaling, etc.) to understand hydrogeomorphic constraints on | 30-Sep-2021          |                       | 30-Sep-2021       |              | Van Appledorn            |  |  |  |  |

Development of UMRS inundation model query tool; Van Appledorn, Fox, Rohweder, De Jager; 2019EH03

Manuscript: Van Appledorn, M., De Jager, N.R. Considerations for improving floodplain research and management by integrating inundation modeling, ecosystem studies, and ecosystem services (2016L5; see 2019EH01) (Resubmitted to journal after revisions)

#### Intended for distribution

Manuscript: Modeling and mapping inundation regimes for ecological and management applications: a case study of the Upper Mississippi River floodplain, USAVan Appledorn, De Jager, Rohweder Research and Applications, Early View On-Line Special Edition. http://dx.doi.org/10.1002/rra.3628 Location of supporting data: https://doi.org/10.5066/F7VD6XRT)

| Acquisition and | Acquisition and Interpretation of Imagery for Production of 2020 UMRS Land Cover/Land Use Data and Pool-Based Orthomosaics   |            |                      |                     |                    |            |  |  |  |
|-----------------|--|------------|----------------------|---------------------|--------------------|------------|--|--|--|
|                 | Image processing, stereo model development,  |            | La Grange - 12/31/21 | Pool 4 - 2/10/21    | Hop resigned;      |            |  |  |  |
|                 | orthorectification, pool-based mosaicking, image   |            |                      | Pool 8 - 5/12/21    | Strassman to       |            |  |  |  |
|                 | interpretation, QA/QC, and serving of 2020 LCU   |            |                      | Pool 13 - 6/28/21   | finalize La Grange |            |  |  |  |
| 20201 0112      | datasets for Pools 4, 8, 13, 26, La Grange, and an   | 1 6 2021   |                      | Pool 26 - 8/05/21   | Pool               | Diad. Han  |  |  |  |
| 2020LCU2        | estimated 80% of the Open River South  | 1-Sep-2021 |                      | (in review)         |                    | Dieck, Hop |  |  |  |
|                 |  |            |                      | 50% ORS - 9/30/21   |                    |            |  |  |  |
|                 |  |            |                      | (enter review after |                    |            |  |  |  |
|                 |  |            |                      | 100% complete)      |                    |            |  |  |  |
| 2020LCU3        | Image processing, stereo model development, orthorectification, pool-based mosaicking, image interpretation, automation, QA/QC, and serving of 2020 LCU datasets for remaining 50% of Open River South, the Alton Pool of the Illinois River, and Pools 9-12 | 1-Sep-2022 |                      |                     |                    | Dieck, Hop |  |  |  |

D-7

| Tracking | Milestone  | Original Target | Modified Target | Date      | Comments | Lead       |
|----------|--|-----------------|-----------------|-----------|----------|------------|
| number   |  | Date            | Date            | Completed |          |            |
| 2020LCU4 | Image processing, stereo model development, orthorectification, pool-based mosaicking, image interpretation, automation, QA/QC, and serving of 2020 LCU datasets for Pools 1-3, 5-7, the St. Croix and lower Minnesota Rivers, and the Peoria Pool of the Illinois River | 1-Sep-2023      |                 |           |          | Dieck, Hop |

#### Aquatic Vegetation, Fisheries, and Water Quality Research

#### **Intended for Distribution**

Manuscript: Estimated annual summer submersed aquatic macrophyte standing stocks (1998 - 2018) in three large reaches of the Upper Mississippi River. (2020A8; USGS review; Drake, Lund, Bales, Kreiling; IP-122160)

Manuscript: Species-specific wet-dry mass calibrations for common submersed macrophytes in the Upper Mississippi River (2020A9; Lund and Drake) Completed: https://doi.org/10.1016/j.aguabot.2020.103344

LTRM completion report: Exploring Years with Low Total Catch of Fishes in Pool 26; 2016B14; Gittinger, Chick (Completed to USGS 21 February 2021)

Manuscript: Evidence of functionally defined non-random fish community responses over 25 years in a large river system (Ickes; 2019B13 replacing 2015B17 and 2016B17; (Not accepted at journal, resubmitting to Hydrobiologia)

LTRM Completion Report: Developing a biochronology of smallmouth buffalo growth for the Upper Mississippi and Illinois Rivers, Ickes with Solomon (2020B12; tied to 2018SMBF4) Sent to Partnership 10-9-2020

Manuscript: The ecology of ice across the river continuum (New tracking number 2021RC1) Authors review the literature on how river ice processes and their impact on ecological processes differ between rivers. Submitted to JGR Biogeosciences

Manuscript: Warmer winters increase phytoplankton biomass in a large floodplain river. (Jankowski, Kathi Jo; Houser, Jeff N.; Schuerell, Mark D.; Smits, Adrianne P.; reconcilation to journal, 7

#### Statistical Evaluation

#### Intended for distribution

Manuscript: Inferring decreases in among- backwater heterogeneity in large rivers using among-backwater variation in limnological variables (2010E1; IP-027392; Gray; in journal review)

Manuscript: Model selection for ecological community data using tree shrinkage priors; Gray, Hefley, Zhang, Bouska; (2017FA2; IP-111931; in revision with Ecological Applications)

Manuscript: Probabilities of detecting submersed aquatic vegetation species using a rake method may vary with biomass; 2020E1; Completed; Aquatic Botany, 171:103375,

https://doi.org/10.1016/j.aquabot.2021.103375

| Pool 12 Over  | Pool 12 Overwintering HREP Adaptive Management Fisheries Response Monitoring                       |             |              |             |                |                     |  |  |  |
|---------------|--|-------------|--------------|-------------|----------------|---------------------|--|--|--|
| 2021P13d      | Age determination of bluegills   | 1-Feb-2021  |              | 30-Nov-2020 | Delayed due to | Kueter              |  |  |  |
|               |  |             |              |             | retirement of  |                     |  |  |  |
| 2021P13e      | In-house project databases updated   | 31-Mar-2021 |              | 30-Nov-2020 | Bowler         | Kueter              |  |  |  |
| 2021P13f      | Made available to program partners via Fish  | 30-Sep-2021 | 30-Jun-2022  |             |                | Kueter              |  |  |  |
|               | Mgmt. State report (2021B4)  |             | 50-Juli-2022 |             |                |                     |  |  |  |
| Pool 4 - Pete | Pool 4 - Peterson Lake HREP Water Quality Monitoring – Pre and Post-Adaptive Management Evaluation |             |              |             |                |                     |  |  |  |
| 2017PL5       | Summary letter: Tabular and graphical summary  | Dec. 2020   |              | 19-Jan-2021 |                | Burdis, Lund, Moore |  |  |  |
|               | of water quality data  |             |              |             |                |                     |  |  |  |
|               |  |             |              |             |                |                     |  |  |  |

D-8

| Tracking         | Milestone  | <b>Original Target</b> | Modified Target         | Date   | Comments               | Lead  |
|------------------|--|------------------------|-------------------------|--|------------------------|---|
| number           |  | Date                   | Date                    | Completed  |                        |   |
|                  | FY18 Fu  | ınded Science in       | Support of Restoration  | and Management Pr  | oposals                |   |
| Conceptual M     | odel and Hierarchical Classification of Hydrogeome                                       | orphic Settings in     | the UMRS                |  |                        |   |
| 2019CM4          | GIS data base and query tool   | 31-Dec-2019            | On-going                |  | Prototype<br>developed | Fitzpatrick, Hendrickson, Rogala,<br>Erwin, Sawyer, Strange |
| 2019CM5          | Submit draft LTRM Completion report on hydrogeomorphic conceptual model and hierarchical | 31-Dec-2019            | 30-Aug-2020             |  | Template complete      | Fitzpatrick, Hendrickson, Rogala, Erwin,<br>Sawyer, Strange |
| 2019CM6          | Submit Final LTRM Completion report on hydrogeomorphic conceptual model and hierarchical | 30-Jun-2020            | 30-Dec-2020             |  |                        | Fitzpatrick, Hendrickson, Rogala, Erwin,<br>Sawyer, Strange |
| Develop a bet    | ter understanding of geomorphic changes through  | repeated measu         | rement of bed elevation | on and overlay of land   | l cover data           |   |
| Determine ged    | omorphic changes in selected side channels of selec                                      | ted reaches using      | g hydroacoustics        |  |                        |   |
| 2021GC1          | Final Completion Report; IP-121033   | 28-Apr-2021            |                         | 23-Apr-2021  |                        | Strange   |
| Establish a ne   | twork of transects in backwaters to measure sedim  | entation               |                         |  |                        | 1   |
| 2019GC6          | Complete setting monuments and surveying remaining transects                             | 30-Sep-2020            |                         | This work delayed u<br>be held on met  |                        | Kalas   |
| 2019GC7          | Complete database for all transects.   | 30-Sep-2020            |                         |  |                        | Kalas   |
| Water Exchan     | ge Rates and Change in UMRS Channels and Backw   | aters, 1980 to Pi      | esent                   |  |                        |   |
| 2019WE2          | Base Maps of Discharge Measurement Location  | 31-May-2019            | 31-May-2021             | 31-May-2021  |                        | Le Claire   |
| 2019WE3          | Submit draft LTRM Completion Report  | 30-Sep-2019            | 30-Sep-2021             | 30-Sep-2021  |                        | Hendrickson   |
| 2019WE4          | Submit Final LTRM Completion Report  | 30-Mar-2020            | 30-Dec-2021             |  |                        | Hendrickson   |
| Intrinsic and e  | extrinsic regulation of water clarity over a 950-km l                                    | ongitudinal gradi      | ient of the UMRS        |  |                        |   |
| 2019IE3          | Submit Draft manuscript  | 30-Mar-2020            | TBD                     | Pls determined that to move forward biomass information is needed. Will continue work once biomass model |                        | Drake, Carhart and others                                   |
| 2019IE4          | Submit Final manuscript  | 30-Dec-2020            |                         | comp   | olete                  | Drake, Carhart and others                                   |
| Effectiveness of | of Long Term Resource Monitoring vegetation data   | to quantify wat        | erfowl habitat quality  |  |                        |   |

Thesis; 2019WF8; Kirsten Schmidt, Estimating Wild Celery Winter Bud Density and Energetic Carrying Capacity for Waterfowl in Pools 4, 8, and 13, of The Upper Mississippi River. (Completed; http://digital.library.wisc.edu/1793/82238)

## Understanding constraints on submersed vegetation distribution in the UMRS: the role of water level fluctuations and clarity

Manuscript: Understanding Constraints on Submersed Vegetation Distribution in a Large, Floodplain River: the Role of Water Level Fluctuations, Water Clarity and River Geomorphology; Carhart et al., Wetlands volume 41, Article number: 57; https://doi.org/10.1007/s13157-021-01454-1. Data available at: https://www.sciencebase.gov/catalog/item/5f6f701c82ce38aaa24c17b8 and https://umesc.usgs.gov/management/dss/umrs\_land\_cover\_viewer.html

| Tracking       | Milestone  | <b>Original Target</b>                                    | Modified Target                                    | Date   | Comments                                       | Lead   |
|----------------|--|---|--|--|--|--|
| number         |  | Date  | Date   | Completed  |  |  |
| Systemic analy | sis of hydrogeomorphic influences on native fresh  | water mussels   |  |  |  |  |
| 2019FM5        | Calculate pool-wide population estimates of<br>native mussels in Pools 8 and 13, finish assessing<br>patterns in mussel assemblages across a gradient<br>of geomorphic indices (all pools), begin<br>conducting statistical analyses   | 30-Sep-2020   | 30-Sep-2021  | Delayed since lead to perform most of new position; no   | the analyses took a                            | Teresa Newton                                      |
| 2019FM6        | Annual progress summary  | 30-Dec-2020   | 30-Dec-2021  |  |  | Teresa Newton                                      |
| 2019FM7        | Complete statistical analyses and prepare geospatial maps  | 30-Sep-2021   | 30-Sep-2022  |  |  | Teresa Newton, Catherine Murphy,<br>Jason Rohweder |
| 2019FM8        | Draft LTRM completion report   | 30-Sep-2021   | 30-Sep-2022  |  |  | Teresa Newton                                      |
| 2019FM9        | Final LTRM completion report   | 30-Jan-2023   |  |  |  | Teresa Newton                                      |
| Using dendrocl | nronology to understand historical forest growth,  | stand developm  | ent. and gap dynamics                              |  |  |  |
| 2019DD7        | King, D. J., G. L. Harley, J. T. Maxwell, K. J. Heeter, I recent decline of <i>Carya illinoinensis</i> (Wangenh.) K Mississippi River System, USA. Forest Ecology and 119454 https://doi.org/10.1016/j.foreco.2021.119   | . Koch (northern<br>Management, V<br>9454 <u>Abstract</u> | pecan) at its northern la<br>olume 496, 15 Septemb | titudinal range margi  |  | Dr. Harley, Dr. Maxwell, MS<br>students            |
| Forest canopy  | gap dynamics: quantifying forest gaps and unders   | tanding gap – le  | vel forest regeneration                            |  |  |  |
| Manuscript: Fo | rest canopy gap dynamics: quantifying forest gaps a  | and understandir  | ng gap - level forest rege                         | neration in Upper Mi   | ssissippi River flood                          | plain forests (2019FG5)                            |
|                | the state of the s |   |  |  |  |  |
|                | tal rate drivers of UMRS fishes to support manage  |   | ration   | Pandemic has slo   | wed progress on                                | O tale a Blade                                     |
| 2019VR8        | Data set complete (data delivered to Ben Schlifer, physical structures delivered to BRWFS)   | 30-Sep-2021   |  | many aspects of age and growth. Closed labs, buildings and limited employees. Wrapping up on QA/QC on ages and wrapping up the last couple |  | Quinton Phelps                                     |
| 2019VR9        | Submit draft manuscript (Vital rates)  | 31-Dec-2021   |  | ()(()  |  | Quinton Phelps, Kristen Bouska                     |
| 2019VR10       | Submit draft manuscript (Drivers of vital rates)   | 31-Dec-2021   |  |  |  | Quinton Phelps, Kristen Bouska                     |
| 2019VR11       | Submit draft manuscript (Microchemistry)   | 31-Dec-2021   |  |  |  | Greg Whitledge                                     |
|                | FY   | 19 Funded Scien   | ce in Support of Restora                           | ation and Manageme   | ent  | ,  |
| Development of | of a standardized monitoring program for vegetati  |   |  |  |  | er Mississippi River System                        |
| 2019epm2       | Progress Summary   | 30-Dec-2020   |  | 30-Mar-2021  | •  | Chick and McGuire                                  |
| 2019epm3       | Draft LTRM Completion  | 30-Jun-2021   |  | Field work delayed   | d due to Covid-19                              | Chick and McGuire                                  |
| 2019epm4       | Final LTRM Completion  | 30-Dec-2021   |  | ,  |  | Chick and McGuire                                  |
|                | etics, otolith microchemistry, and vital rate estimates  |   | restoration and manage                             | ment of fish populat   | ions in the UMRS                               |  |
| 2019gen3       | Draft Manuscript   | 30-Dec-2021   |  |  |  | Larson, Bartels, Bouska                            |
| Reforesting UN | MRS forest canopy openings occupied by invasive s  | pecies  |  |  |  |  |
| 2019ref2       | Progress Summary   | 30-Dec-2020   |  | 11-Feb-2021  | Project delays<br>due to high water<br>in 2019 | Guyon and Cosgriff                                 |

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| Tracking          | Milestone  | Original Target   | Modified Target          | Date  | Comments              | Lead  |
|-------------------|--|-------------------|--------------------------|---|-----------------------|---|
| number            |  | Date              | Date                     | Completed   |                       |   |
| 2019ref3          | Draft LTRM Completion                                | 30-Apr-2021       | 30-Dec-22                | Project on track after initial tree planting delays; fieldwork ongoing; |                       | Guyon and Cosgriff                                  |
|                   | ·  | •                 |                          |   |                       | •   |
|                   |  |                   |                          | draft and final re  |                       |   |
|                   |  |                   |                          | following final 20  |                       |   |
| 2019ref4          | Final LTRM Completion                                | 30-Sep-2021       | 30-Jun-23                |   |                       | Guyon and Cosgriff                                  |
| A year of zoop    | plankton community data from the habitats and po     | ols of the UMR    |                          |   |                       |   |
| 2019zoo1          | Progress Summary                                     | 30-Dec-2019       |                          | 2-Jan-2020  |                       | Sobotka and Fulgoni                                 |
| 2019zoo2          | Draft LTRM Completion report on utility of           |                   |                          |   |                       |   |
|                   | zooplankton community monitoring for HREP            | 20 D 2020         | TDD                      |   |                       | Cabatha and Culcani                                 |
|                   | assessment   | 30-Dec-2020       | TBD                      |   |                       | Sobotka and Fulgoni                                 |
|                   | discissification                                     |                   |                          |   |                       |   |
| 2019zoo3          | Final LTRM Completion report on utility of           |                   |                          |   |                       |   |
|                   | zooplankton community monitoring for HREP            | 30-Jun-2021       | TBD                      |   |                       | Sobotka and Fulgoni                                 |
|                   | assessment   | 30 Juli 2021      | 100                      |   |                       | Sobotka ana raigom                                  |
|                   |  |                   |                          |   |                       |   |
| 2019zoo4          | Draft LTRM Completion report on detailing            |                   |                          | Sample collection delayed because of                                    |                       |   |
|                   | differences between pools and habitats.              |                   |                          | Covid-1   | 9 state               |   |
|                   | Report will also investigate the potential           | 30-Dec-2020       | TBD                      | protocols; zooplar  | nkton ID delayed;     | Sobotka and Fulgoni                                 |
|                   | investigate the potential impacts of Asian carp on   | 30-Dec-2020       |                          | Fulgoni took new position   |                       | Sobotica and Faigorn                                |
|                   | the zooplankton community.                           |                   |                          | i algolii tookii  | ien pesition          |   |
|                   | ,  |                   |                          |   |                       |   |
| 2019zoo5          | Final LTRM Completion report on on detailing         |                   |                          |   |                       |   |
|                   | differences between pools and habitats.              |                   |                          |   |                       |   |
|                   | Report will also investigate the potential           | 30-Jun-2021       | TBD                      |   |                       | Cabatka and Fulgani                                 |
|                   | investigate the potential impacts of Asian carp on   | 30-Jun-2021       | ושט                      |   |                       | Sobotka and Fulgoni                                 |
|                   | the zooplankton community.                           |                   |                          |   |                       |   |
|                   |  |                   |                          |   |                       |   |
| The Role of La    | rge Wood in The Restoration of Habitat in the Upp    |                   | ver System               |   |                       |   |
| 2019LW1           | Progress Summary                                     | 31-Dec-2019       | 14-Feb-2020              | 12-Feb-2020   |                       | Thomsen, Jankowski                                  |
| Henderson, Jef    | ffrey. Improving Habitat: Periphyton And Macroinve   | rtebrate Coloniza | ation on Large Wood In I | Pool 8 of The Upper N   | Aississippi River. 20 | 019LW3.   |
| http://digital.li | ibrary.wisc.edu/1793/81736                           |                   |                          |   |                       |   |
|                   |  | FY19 Funde        | ed Illinois Waterway 20  | 20 Lock Closure   |                       |   |
|                   | ation: Navigation Closure Study                      |                   |                          |   |                       |   |
| 2020SAV1          | Field sampling - during lock closure                 | 30-Aug-2021       |                          |   |                       | Lund, Drake, Bales, others                          |
|                   |  |                   | Not completed d          | ue to Covid-19 travel   | restrictions          |   |
| 2020SAV2          | Progress Summary                                     | 30-Dec-2021       |                          |   |                       | Lund, Drake, Bales                                  |
|                   | Maintenance Aerial Imagery for Illinois River's Alto |                   | lon Lock and Dams, 201   | 1   | T                     |   |
| XXXX              | Acquire 4-band aerial imagery 2020                   | 30-Aug-2021       |                          | 30-Aug-2021   |                       | Lubinski, Robinson, Finley, and Hop                 |
|                   | ty Response to the 2020 Illinois Waterway Lock Clo   |                   |                          |   | ı                     |   |
| 2020FSH1          | Field sampling - during lock closure                 | 30-Oct-2021       |                          | 30-Oct-2021   |                       | Lamer and Solomon                                   |
| 2020FSH2          | Progress Summary                                     | 30-Dec-2021       |                          |   |                       | Lamer and Solomon                                   |
| Water Clarity     |  |                   |                          |   |                       |   |
|                   | and the IWW Lock Closures                            |                   |                          |   |                       |   |
| 2021WC1           | Analysis of data collected on barge -driven wave     |                   |                          |   |                       | Jankowski (collaborating with Fish and SAV          |
|                   |  | 30-Dec-2021       |                          |   |                       | Jankowski (collaborating with Fish and SAV studies) |

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| Tunalsina       | Milestone   | Original Toward      | Madified Toward                       | Data  | Comments             | land   |
|-----------------|---|----------------------|---------------------------------------|---|----------------------|--|
| Tracking number | Milestone   | Original Target Date | Modified Target  Date                 | Date<br>Completed   | Comments             | Lead   |
| number          | FY  |                      | ce in Support of Restor               |   | ent                  |  |
| Mapping Pote    | ential Sensitivity to Hydrogeomorphic Change in the         |                      |                                       |   |                      | ol   |
| 2021HG1         | Complete annual project summary                             | 31-Dec-2020          | , , , , , , , , , , , , , , , , , , , | 31-Dec-2020   |                      | Strange, Fitzpatrick                           |
| 2021HG2         | Conduct web meeting with core team and                      | 30-Jan-2021          |                                       | 30-Jan-2021   |                      | Geomorphologist, Strange,                      |
|                 | panelists,  |                      |                                       |   |                      | Fitzpatrick, all attend                        |
| 2021HG3         | GIS compilation of hydrogeomorphic units and                | 30-Mar-2021          |                                       | 30-Mar-2021   |                      | Strange, Fitzpatrick,                          |
|                 | catena  |                      |                                       |   |                      | Geomorphologist, Van Appledorn                 |
| 2021HG4         | Conduct web meeting for presentation of results             | 30-Nov-2021          |                                       |   |                      | Geomorphologist, Strange, Fitzpatrick, all     |
|                 | from hydrogeomorphic change classification                  |                      |                                       |   |                      | attend   |
|                 | interpretation, checking, testing, and application          |                      |                                       |   |                      |  |
| 2021HG5         | Complete annual project summary                             | 31-Dec-2021          |                                       |   |                      | Strange, Fitzpatrick                           |
| 2021HG6         | Submit draft LTRM Completion report on                      | 31-Dec-2021          |                                       |   |                      | Geomorphologist, Strange,                      |
|                 | hydrogeomorphic change GIS database and                     |                      |                                       |   |                      | Fitzpatrick, Van Appledorn, USACE core team    |
|                 | query system  |                      |                                       |   |                      |  |
| 2021HG7         | Submit Final LTRM Completion report on                      | 30-Mar-2022          |                                       |   |                      | Geomorphologist, Strange,                      |
|                 | hydrogeomorphic change GIS database and                     | 00 2022              |                                       |   |                      | Fitzpatrick, Van Appledorn, USACE core team    |
|                 | query tool.   |                      |                                       |   |                      | Дене и под |
| Improving our   | r understanding of historic, contemporary, and futu         | re UMRS hydrol       | ogy by improving work                 | flows, reducing redu  | ındancies, and setti | ng a blueprint for modelling potential future  |
| 2021HH1         | Historic and Contemporary Hydrologic Database               | 30-Sep-2021          | 30-Jun-2022                           | Awaiting final data   |                      | M. Van Appledorn, L. Sawyer                    |
|                 | Release and Documentation                                   |                      |                                       | USACE Water Control Chiefs (2 of 3 districts have submitted historic data |                      |  |
|                 |   |                      |                                       |   |                      |  |
|                 |   |                      |                                       | and documentation   | n; 1 district has    |  |
|                 |   |                      |                                       | submitted docume  | ntation only);       |  |
|                 |   |                      |                                       | -   | drologic data server |  |
|                 |   |                      |                                       | switch completion   |                      |  |
|                 |   |                      |                                       | contemporary data   |                      |  |
| 2021HH2         | Draft LTRM Completion Report: document                      | 30-Dec-2021          |                                       |   |                      | M. Van Appledorn, L. Sawyer                    |
|                 | database and documentation development                      |                      |                                       |   |                      |  |
|                 | steps, database capabilities, and quantitative              |                      |                                       |   |                      |  |
|                 | summaries of the  |                      |                                       |   |                      |  |
|                 | hydrologic regime through time.                             |                      |                                       |   |                      |  |
| 2021HH3         | Final LTRM Completion Report: document                      | 31-Mar-2022          |                                       |   |                      | M. Van Appledorn, L. Sawyer                    |
|                 | database and documentation development                      |                      |                                       |   |                      |  |
|                 | steps, database capabilities, and quantitative              |                      |                                       |   |                      |  |
|                 | summaries of the  |                      |                                       |   |                      |  |
|                 | hydrologic regime through time                              |                      |                                       |   |                      |  |
|                 |   |                      |                                       |   |                      |  |
| 2021HH4         | Developing Future Hydrologic Scenarios                      | 30-Dec-2021          |                                       |   | On-Going             | M. Van Appledorn, L. Sawyer                    |
|                 | Workshop: topics include identify appropriate               |                      |                                       |   |                      |  |
|                 | future climate and/or land-use scenarios for use            |                      |                                       |   |                      |  |
|                 | in a UMRS watershed model, existing hydrologic              |                      |                                       |   |                      |  |
|                 | modeling resources and capabilities, and logistics          |                      |                                       |   |                      |  |
|                 | for completing a climate-changed hydrologic modeling effort |                      |                                       |   |                      |  |
|                 | THIODEINE ETTORT  | 1                    |                                       | 1   | 1                    | 1  |

D-12

| Tracking       | Milestone   | <b>Original Target</b> | Modified Target          | Date        | Comments        | Lead   |
|----------------|---|------------------------|--------------------------|-------------|-----------------|--|
| number         |   | Date                   | Date                     | Completed   |                 |  |
| 2021HH5        | Draft LTRM Completion Report (Scenarios): This      | 31-Mar-2022            |                          |             |                 | M. Van Appledorn, L. Sawyer, R. Seal-Soileau |
|                | report will serve as the blueprint for modeling     |                        |                          |             |                 |  |
|                | future hydrology to be undertaken with future       |                        |                          |             |                 |  |
|                | funding   |                        |                          |             |                 |  |
| 2021HH6        | Final LTRM Completion Report (Scenarios): This      | 30-Jun-2022            |                          |             |                 | M. Van Appledorn, L. Sawyer, R. Seal-Soileau |
|                | report will serve as the blueprint for modeling     |                        |                          |             |                 |  |
|                | future hydrology to be undertaken with future       |                        |                          |             |                 |  |
|                | funding   |                        |                          |             |                 |  |
| Understanding  | g physical and ecological differences among side ch | annels of the Up       | per Mississippi River Sv | rstem       |                 |  |
| 2021SC1        | Annual progress summary: data collection and        |                        | per mineral primiter of  |             |                 | Sobotka, Strange, Bouska, McCain, Theel,     |
|                | processing, preliminary analyses, and initial       | 30-Dec-2020            |                          | 30-Dec-2020 |                 | Vander Vorste                                |
|                | methods   | 30-Dec-2020            |                          | 30-Dec-2020 |                 |  |
|                | ovaluation  |                        |                          |             |                 |  |
| 2021SC2        | Annual progress summary on side channel             |                        |                          |             |                 | Sobotka, Strange, Bouska, McCain, Theel,     |
|                | classification scheme, recommendations for          |                        |                          |             |                 | Vander Vorste                                |
|                | additional sampling, analyses of side channel       | 30-Dec-2021            |                          |             |                 |  |
|                | classes and ecological associations                 |                        |                          |             |                 |  |
|                |   |                        |                          |             |                 |  |
| 2021SC3        | Manuscript on side channel classification scheme    | 30-Sep-2022            |                          |             |                 | Sobotka, Strange, Bouska, McCain,            |
|                | submitted for peer review                           | ·                      |                          |             |                 | Theel  |
|                | '   |                        |                          |             |                 |  |
| 2021SC4        | Final report on UMRR management implications        | 30-Sep-2022            |                          |             |                 | Sobotka & McCain                             |
| 2021304        | submitted for USGS review                           | 30 3CP 2022            |                          |             |                 | Sobotka & Weeam                              |
|                | Submitted for OSGS Teview                           |                        |                          |             |                 |  |
| 2021SC5        | Manuscript on benthic invertebrate associations     |                        |                          |             |                 | Sobotka & Vander Vorste                      |
|                | with  | 30-May-2023            |                          |             |                 |  |
|                | side channel characteristics submitted for USGS     | •                      |                          |             |                 |  |
| Refining our U | Ipper Mississippi River's ecosystem states framewo  | ork                    |                          |             |                 |  |
| 2021SS1        | Data integration (gather datasets, integrate)       | 1-Dec-2020             |                          | 1-Dec-2020  |                 | Rohweder (All assist)                        |
| 2021SS2        | Identify states and transitions using NMDS          | 1-Mar-2021             |                          | 1-Mar-2021  |                 | Larson, Carhart                              |
| 2021SS3        | Driver-response curves                              | 1-May-2021             |                          | 1-May-2021  |                 | Larson                                       |
| 2021SS4        | Workshop: vulnerability assessment                  | 1-May-2021             |                          | ,           | Delayed to FY22 | Larson, Delaney                              |
|                |   | •                      |                          |             | due to Covid-19 |  |
|                |   |                        |                          |             | protocols       |  |
| 2021SS5        | Annual reporting and data management update         | 1-Sep-2021             |                          | 1-Sep-2021  |                 | Larson                                       |
| 2021SS6        | Vulnerability maps                                  | 1-Dec-2021             |                          |             |                 | Delaney                                      |
| 2021SS7        | Spatial mapping of states and changes               | 1-Dec-2021             |                          |             |                 | Rohweder (Carhart trains)                    |
| 2021SS8        | TDA Mapper, regime shifts                           | 1-May-2022             |                          |             |                 | Bungula, student, Larson                     |
| 2021SS9        | Draft the STM, share with stakeholders              | 1-Sep-2022             |                          |             |                 | Larson                                       |
| 2021SS10       | Technical report, vulnerability assessment tool,    | 1-Sep-2022             |                          |             |                 | All  |
|                | and manuscripts to IDPS for internal review         |                        |                          |             |                 |  |
|                |   |                        |                          |             |                 |  |

D-13

| Tracking      | Milestone  | Original Target    | Modified Target           | Date                    | Comments             | Lead                                      |
|---------------|--|--------------------|---------------------------|-------------------------|----------------------|---|
| number        | THIII COLONIC  | Date               | Date                      | Completed               | Comments             | 2000                                      |
|               | he UMRR fish vital rates project with greater specio |                    |                           |                         |                      |   |
| 2021VR1       | Annual progress summary                              | 31-Dec-2020        | Tron Bernetines anna etem | 31-Dec-2020             |                      | Bartels, Bouska, Davis, Lamer, Tan,       |
|               | ,  |                    |                           |                         |                      | Whitledge                                 |
| 2021VR2       | Annual progress summary                              | 31-Dec-2021        |                           |                         |                      | Bartels, Bouska, Davis, Lamer, Tan,       |
|               |  |                    |                           |                         |                      | Whitledge                                 |
| 2021VR3       | Submit draft manuscript (genetics)                   | 31-Dec-2022        |                           |                         |                      | Davis, Tan, Lamer                         |
| 2021VR4       | Submit draft manuscript (genetics - mimic/channel)   | 31-Dec-2022        |                           |                         |                      | Davis, Tan, Lamer                         |
| 2021VR5       | Submit draft manuscript (constructing                | 31-Dec-2022        |                           |                         |                      | Bartels, Bouska, Davis, Lamer,            |
|               | management   |                    |                           |                         |                      | Larson, Phelps, Tan, Whitledge            |
|               | units)   |                    |                           |                         |                      |   |
| Functional UN | /ARS fish community responses and their environmo    | ental associations | in the face of a changi   | ing river: hydrologic v | variability, biologi | cal invasions, and habitat rehabilitation |
| 2021FF1       | Draft manuscript: Evidence of alternative trophic    | 30-Sep-2021        |                           |                         |                      | Ickes and Gatto                           |
|               | pathways for fish consumers in a large river         |                    |                           | 9/30/2021               |                      |   |
| 2021FF2       | Draft manuscript: "Has large scale ecosystem         | 30-Sep-2021        | 30-Jun-2022               |                         |                      | Ickes and Gatto                           |
|               | rehabilitation altered functional fish community     |                    |                           |                         |                      |   |
|               | expressions in the Upper Mississippi River           |                    |                           |                         |                      |   |
|               | System?"   |                    |                           |                         |                      |   |
| 2021FF3       | Draft Manuscript: "Why aren't bigheaded carps        | 30-Sep-2021        | 30-Sep-2022               |                         |                      | Ickes and Gatto                           |
|               | (Hypophthalmichthys sp.) everywhere in the           |                    |                           |                         |                      |   |
|               | Upper Mississippi River System?"                     |                    |                           |                         |                      |   |
| Understanding | g landscape-scale patterns in winter conditions in t | the Upper Mississ  | ippi River System         |                         |                      |   |
| 2021WL1       | System wide spatial layers of habitat conditions     | 30-Sep-2022        |                           |                         |                      | Mooney, Dugan, Magee                      |
| 2021WL2       | Draft manuscript: Landscape scale controls on        | 30-Sep-2022        |                           |                         |                      | Mooney, Dugan, Jankowski,                 |
|               | overwintering habitat in a large river               |                    |                           |                         |                      | Magee                                     |
|               |  |                    |                           |                         |                      |   |
| 2021WL3       | Draft manuscript: Response of oxygen dynamics        | 30-Sep-2023        |                           |                         |                      | Jankowski, Dugan, Burdis, Kalas,          |
|               | to   |                    |                           |                         |                      | Kueter                                    |
|               | ice and snow phenology in backwater lakes            |                    |                           |                         |                      |   |
| 2021WL4       | Draft Manuscript: Patterns in sediment               | 30-Sep-2023        |                           |                         |                      | Perner, Kreiling, Jankowski, Giblin       |
|               | characteristics and oxygen demand across a           |                    |                           |                         |                      | , 0,                                      |
|               | winter riverine landscape                            |                    |                           |                         |                      |   |
| Forest Respon | se to Multiple Large-Scale Inundation Events         |                    |                           |                         |                      |   |
| 2021FR1       | Annual Summary                                       | 31-Dec-2020        |                           | Field work              |                      | Cosgriff, Guyon, De Jager                 |
| 2021FR2       | Annual Summary                                       | 31-Dec-2021        |                           |                         |                      | Cosgriff, Guyon, De Jager                 |
| 2021FR3       | Technical Report                                     | 1-Jun-2022         |                           |                         |                      | Cosgriff, Guyon, De Jager                 |

D-14

#### UMRR Science in Support of Restoration and Management FY2014 and FY2015 Scopes of Work November 2021 Status

| Tracking number | Milestone   | Original<br>Target Date | Modified Target Date | Date<br>Completed | Comments  | Lead                               |
|-----------------|---|-------------------------|----------------------|-------------------|---|------------------------------------|
| Plankton comi   | munity dynamics in Lake Pepin                               |                         |                      |                   |   |                                    |
| 2015LPP1        | Phytoplankton processing; species composition, biovolume    | 30-Dec-15               |                      | 22-Oct-15         |   | Burdis                             |
| 2015LPP2        | draft manuscript: Plankton community dynamics in Lake Pepin | 30-Sep-16               | 30-Jun-22            |                   | good progress, presentations this fall  | Burdis, Manier                     |
| Predictive Aqu  | native Cover Type Model - Phase 2                           |                         |                      |                   | •   |                                    |
| 2015AQ1         | Develop 2-D hydraulic model of upper Pool 4                 | 30-Sep-15               |                      | 30-Sep-15         |   | Libbey (MVP H&H)                   |
| 2015AQ2         | Apply model to Pool 4 and resolve discrepancies             | 31-Dec-15               | 31-Mar-16            | 31-Mar-16         |   | Yin, Rogala                        |
| 2015AQ3         | Detailed summary of work for Phases I & II                  | 31-Dec-15               |                      | NA                | Work terminated with resignation of<br>Dr. Yin. Danelle Larson will re-<br>evaluate vegetation modeling in a<br>future time frame | Sauer (for Yin), Rogala, Ingvalson |

# **ATTACHMENT E**

# **Additional Items**

- Future Meeting Schedule (E-1)
- Frequently Used Acronyms (12/21/2017) (E-2 to E-7)
- UMRR Authorization, As Amended (1/11/2021) (E-8 to E-11)
- UMRR (EMP) Operating Approach (5/2006) (E-12)

# QUARTERLY MEETINGS FUTURE MEETING SCHEDULE

| FEBRUARY 2022              |   |  |  |  |  |  |  |  |
|----------------------------|---|--|--|--|--|--|--|--|
|                            | Location to be determined   |  |  |  |  |  |  |  |
| February 22<br>February 23 | UMRBA Quarterly Meeting UMRR Coordinating Committee Quarterly Meeting |  |  |  |  |  |  |  |

|        | MAY 2022                                      |  |  |  |  |  |  |  |
|--------|---|--|--|--|--|--|--|--|
|        | Location to be determined                     |  |  |  |  |  |  |  |
| May 24 | UMRBA Quarterly Meeting                       |  |  |  |  |  |  |  |
| May 25 | UMRR Coordinating Committee Quarterly Meeting |  |  |  |  |  |  |  |
| -      |   |  |  |  |  |  |  |  |

### Acronyms Frequently Used on the Upper Mississippi River System

AAR After Action Report

A&E Architecture and Engineering

ACRCC Asian Carp Regional Coordinating Committee

AFB Alternative Formulation Briefing
AHAG Aquatic Habitat Appraisal Guide
AHRI American Heritage Rivers Initiative

AIS Aquatic Invasive Species
ALC American Lands Conservancy
ALDU Aquatic Life Designated Use(s)

AM Adaptive Management
ANS Aquatic Nuisance Species

AP Advisory Panel

APE Additional Program Element

ARRA American Recovery and Reinvestment Act
ASA(CW) Assistant Secretary of the Army for Civil Works

A-Team Analysis Team

ATR Agency Technical Review
AWI America's Watershed Initiative
AWO American Waterways Operators

AWQMN Ambient Water Quality Monitoring Network

BA Biological Assessment

BATIC Build America Transportation Investment Center

BCR Benefit-Cost Ratio

BMPs Best Management Practices

BO Biological Opinion

CAP Continuing Authorities Program
CAWS Chicago Area Waterways System
CCC Commodity Credit Corporation
CCP Comprehensive Conservation Plan

CERCLA Comprehensive Environmental Response, Compensation, and Liability Act

CEQ Council on Environmental Quality

CFR Code of Federal Regulations

CG Construction General

CIA Computerized Inventory and Analysis
CMMP Channel Maintenance Management Plan

COE Corps of Engineers
COPT Captain of the Port
CPUE Catch Per Unit Effort

CRA Continuing Resolution Authority

CREP Conservation Reserve Enhancement Program

CRP Conservation Reserve Program
CSP Conservation Security Program
CUA Cooperative Use Agreement

CWA Clean Water Act

DALS Department of Agriculture and Land Stewardship

DED Department of Economic Development

DEM Digital Elevation Model

DET District Ecological Team

DEWS Drought Early Warning System
DMMP Dredged Material Management Plan
DNR Department of Natural Resources

DO Dissolved Oxygen

DOA Department of Agriculture
DOC Department of Conservation

DOER Dredging Operations and Environmental Research

DOT Department of Transportation

DPR Definite Project Report

DQC District Quality Control/Quality Assurance

DSS Decision Support System
EA Environmental Assessment

ECC Economics Coordinating Committee
EEC Essential Ecosystem Characteristic
EIS Environmental Impact Statement

EMAP Environmental Monitoring and Assessment Program

EMAP-GRE Environmental Monitoring and Assessment Program-Great Rivers Ecosystem
EMP Environmental Management Program [Note: Former name of Upper Mississippi

River Restoration Program.]

EMP-CC Environmental Management Program Coordinating Committee

EO Executive Order

EPA Environmental Protection Agency

EPR External Peer Review

EQIP Environmental Quality Incentives Program

ER Engineering Regulation

ERDC Engineering Research & Development Center

ESA Endangered Species Act

EWMN Early Warning Monitoring Network

EWP Emergency Watershed Protection Program

FACA Federal Advisory Committee Act

FEMA Federal Emergency Management Agency FERC Federal Energy Regulatory Commission

FDR Flood Damage Reduction FFS Flow Frequency Study

FONSI Finding of No Significant Impact

FRM Flood Risk Management

FRST Floodplain Restoration System Team

FSA Farm Services Agency FTE Full Time Equivalent

FWCA Fish & Wildlife Coordination Act

FWIC Fish and Wildlife Interagency Committee

FWS Fish and Wildlife Service FWWG Fish and Wildlife Work Group

FY Fiscal Year

GAO Government Accountability Office
GEIS Generic Environmental Impact Statement

GI General Investigations

GIS Geographic Information System
GLC Governors Liaison Committee
GLC Great Lakes Commission

GLMRIS Great Lakes and Mississippi River Interbasin Study

GPS Global Positioning System

GREAT Great River Environmental Action Team

GRP Geographic Response Plan
HAB Harmful Algal Bloom
HEL Highly Erodible Land

HEP Habitat Evaluation Procedure HNA Habitat Needs Assessment

HPSF HREP Planning and Sequencing Framework

HQUSACE Headquarters, USACE H.R. House of Representatives

HREP Habitat Rehabilitation and Enhancement Project

HU Habitat Unit

HUC Hydrologic Unit Code IBA Important Bird Area

IBI Index of Biological (Biotic) Integrity

IC Incident Commander

ICS Incident Command System

ICWP Interstate Council on Water Policy
IDIQ Indefinite Delivery/Indefinite Quantity
IEPR Independent External Peer Review
IIA Implementation Issues Assessment

IIFO Illinois-Iowa Field Office (formerly RIFO - Rock Island Field Office)

ILP Integrated License Process

IMTS Inland Marine Transportation System
 IRCC Illinois River Coordinating Council
 IRPT Inland Rivers, Ports & Terminals
 IRTC Implementation Report to Congress

IRWG Illinois River Work Group
ISA Inland Sensitivity Atlas
IWR Institute for Water Resources

IWRM Integrated Water Resources Management

IWTF Inland Waterways Trust FundIWUB Inland Waterways Users Board

IWW Illinois Waterway
L&D Lock(s) and Dam
LC/LU Land Cover/Land Use
LDB Left Descending Bank

LERRD Lands, Easements, Rights-of-Way, Relocation of Utilities or Other Existing

Structures, and Disposal Areas

LiDAR Light Detection and Ranging LMR Lower Mississippi River

LMRCC Lower Mississippi River Conservation Committee

LOI Letter of Intent

LTRM Long Term Resource Monitoring

M-35 Marine Highway 35

MAFC Mid-America Freight Coalition
MARAD U.S. Maritime Administration
MARC 2000 Midwest Area River Coalition 2000

MICRA Mississippi Interstate Cooperative Resource Association

MIPR Military Interdepartmental Purchase Request

MMR Middle Mississippi River

MMRP Middle Mississippi River Partnership MNRG Midwest Natural Resources Group

MOA Memorandum of Agreement

MoRAST Missouri River Association of States and Tribes

MOU Memorandum of Understanding

MRAPS Missouri River Authorized Purposes Study

MRBI Mississippi River Basin (Healthy Watersheds) Initiative

MRC Mississippi River Commission

MRCC Mississippi River Connections Collaborative
MRCTI Mississippi River Cities and Towns Initiative
MRRC Mississippi River Research Consortium
MR&T Mississippi River and Tributaries (project)

MSP Minimum Sustainable Program MVD Mississippi Valley Division

MVP St. Paul District
MVR Rock Island District
MVS St. Louis District

NAS National Academies of Science NAWQA National Water Quality Assessment

NCP National Contingency Plan

NIDIS National Integrated Drought Information System (NOAA)

NEBA Net Environmental Benefit Analysis

NECC Navigation Environmental Coordination Committee

NED National Economic Development NEPA National Environmental Policy Act

NESP Navigation and Ecosystem Sustainability Program
NETS Navigation Economic Technologies Program

NGO Non-Governmental Organization

NGRREC National Great Rivers Research and Education Center

NICC Navigation Interests Coordinating Committee
NPDES National Pollution Discharge Elimination System

NPS Non-Point Source
NPS National Park Service
NRC National Research Council

NRCS Natural Resources Conservation Service

NRDAR Natural Resources Damage Assessment and Restoration

NRT National Response Team

NSIP National Streamflow Information Program

NWI National Wetlands InventoryNWR National Wildlife RefugeO&M Operation and Maintenance

OHWM Ordinary High Water Mark

OMB Office of Management and Budget

OMRR&R Operation, Maintenance, Repair, Rehabilitation, and Replacement

OPA Oil Pollution Act of 1990

ORSANCO Ohio River Valley Water Sanitation Commission

OSC On-Scene Coordinator Other Social Effects **OSE OSIT** On Site Inspection Team P3 **Public-Private Partnerships** PA Programmatic Agreement **PAS** Planning Assistance to States Principles and Guidelines P&G P&R Principles and Requirements P&S Plans and Specifications Principles and Standards P&S **PCA** Pollution Control Agency

PCA Project Cooperation Agreement
PCX Planning Center of Expertise

PDT Project Delivery Team

PED Preliminary Engineering and Design

PgMP Program Management Plan
PILT Payments In Lieu of Taxes
PIR Project Implementation Report

PL Public Law

PMP Project Management Plan
PORT Public Outreach Team

PPA Project Partnership Agreement

PPT Program Planning Team

QA/QC Quality Assurance/Quality Control

RCRA Resource Conservation and Recovery Act

RCP Regional Contingency Plan

RCPP Regional Conservation Partnership Program

RDB Right Descending Bank

RED Regional Economic Development

RIFO Rock Island Field Office (now IIFO - Illinois-Iowa Field Office)

RM River Mile

RP Responsible Party
RPT Reach Planning Team

RRAT River Resources Action Team

RRCT River Resources Coordinating Team

RRF River Resources Forum
RRT Regional Response Team
RST Regional Support Team
RTC Report to Congress

S. Senate

SAV Submersed Aquatic Vegetation SDWA Safe Drinking Water Act

SEMA State Emergency Management Agency

SET System Ecological Team
SONS Spill of National Significance

SOW Scope of Work

SRF State Revolving Fund

SWCD Soil and Water Conservation District

T&E Threatened and Endangered TEUs twenty-foot equivalent units

TIGER Transportation Investment Generating Economic Recovery

TLP Traditional License Process
TMDL Total Maximum Daily Load
TNC The Nature Conservancy
TSP Tentatively selected plan
TSS Total Suspended Solids
TVA Tennessee Valley Authority
TWG Technical Work Group

UMESC Upper Midwest Environmental Sciences Center

UMIMRA Upper Mississippi, Illinois, and Missouri Rivers Association

UMR Upper Mississippi River

UMRBA Upper Mississippi River Basin Association UMRBC Upper Mississippi River Basin Commission

UMRCC Upper Mississippi River Conservation Committee
UMRCP Upper Mississippi River Comprehensive Plan
UMR-IWW Upper Mississippi River-Illinois Waterway

UMRNWFR Upper Mississippi River National Wildlife and Fish Refuge

UMRR Upper Mississippi River Restoration Program [Note: Formerly known as

Environmental Management Program.]

UMRR CC Upper Mississippi River Restoration Program Coordinating Committee

UMRS Upper Mississippi River System

UMWA Upper Mississippi Waterway Association

USACE U.S. Army Corps of Engineers

USCG U.S. Coast Guard

USDA U.S. Department of Agriculture USFWS U.S. Fish and Wildlife Service

USGS U.S. Geological Survey VTC Video Teleconference WCI Waterways Council, Inc.

WES Waterways Experiment Station (replaced by ERDC)

WHAG Wildlife Habitat Appraisal Guide
WHIP Wildlife Habitat Incentives Program

WIIN Water Infrastructure Improvements for the Nation Act

WLMTF Water Level Management Task Force

WQ Water Quality

WQEC Water Quality Executive Committee

WQTF Water Quality Task Force WQS Water Quality Standard

WRDA Water Resources Development Act

WRP Wetlands Reserve Program

WRRDA Water Resources Reform and Development Act

### **Upper Mississippi River Restoration Program Authorization**

Section 1103 of the Water Resources Development Act of 1986 (P.L. 99-662) as amended by

Section 405 of the Water Resources Development Act of 1990 (P.L. 101-640),

Section 107 of the Water Resources Development Act of 1992 (P.L. 102-580),

Section 509 of the Water Resources Development Act of 1999 (P.L. 106-53),

Section 2 of the Water Resources Development Technical Corrections of 1999 (P.L. 106-109),

Section 3177 of the Water Resources Development Act of 2007 (P.L. 110-114), and

Section 307 of the Water Resources Development Act of 2020 (P.L. 116-260).

# **Additional Cost Sharing Provisions**

**Section 906(e)** of the Water Resources Development Act of 1986 (P.L. 99-662) as amended by Section 221 of the Water Resources Development Act of 1999 (P.L. 106-53).

#### SEC. 1103. UPPER MISSISSIPPI RIVER PLAN.

- (a)(1) This section may be cited as the "Upper Mississippi River Management Act of 1986".
- (2) To ensure the coordinated development and enhancement of the Upper Mississippi River system, it is hereby declared to be the intent of Congress to recognize that system as a nationally significant ecosystem and a nationally significant commercial navigation system. Congress further recognizes that the system provides a diversity of opportunities and experiences. The system shall be administered and regulated in recognition of its several purposes.
  - (b) For purposes of this section --
- (1) the terms "Upper Mississippi River system" and "system" mean those river reaches having commercial navigation channels on the Mississippi River main stem north of Cairo, Illinois; the Minnesota River, Minnesota; Black River, Wisconsin; Saint Croix River, Minnesota and Wisconsin; Illinois River and Waterway, Illinois; and Kaskaskia River, Illinois;
- (2) the term "Master Plan" means the comprehensive master plan for the management of the Upper Mississippi River system, dated January 1, 1982, prepared by the Upper Mississippi River Basin Commission and submitted to Congress pursuant to Public Law 95-502:
- (3) the term "GREAT I, GREAT II, and GRRM studies" means the studies entitled "GREAT Environmental Action Team--GREAT I--A Study of the Upper Mississippi River", dated September 1980, "GREAT River Environmental Action Team--GREAT II--A Study of the Upper Mississippi River", dated December 1980, and "GREAT River Resource Management Study", dated September 1982; and
- (4) the term "Upper Mississippi River Basin Association" means an association of the States of Illinois, Iowa, Minnesota, Missouri, and Wisconsin, formed for the purposes of cooperative effort and united assistance in the comprehensive planning for the use, protection, growth, and development of the Upper Mississippi River System.
- (c)(1) Congress hereby approves the Master Plan as a guide for future water policy on the Upper Mississippi River system. Such approval shall not constitute authorization of any recommendation contained in the Master Plan.
- (2) Section 101 of Public Law 95-502 is amended by striking out the last two sentences of subsection (b), striking out subsection (i), striking out the final sentence of subsection (j), and redesignating subsection "(j)" as subsection "(i)".
- (d)(1) The consent of the Congress is hereby given to the States of Illinois, Iowa, Minnesota, Missouri, and Wisconsin, or any two or more of such States, to enter into negotiations for agreements, not in conflict with any law of the United States, for cooperative effort and mutual assistance in the comprehensive planning for the use, protection, growth, and development of the Upper Mississippi River system, and to establish such agencies, joint or otherwise, or designate an existing multi-State entity, as they may deem desirable for making effective such

agreements. To the extent required by Article I, section 10 of the Constitution, such agreements shall become final only after ratification by an Act of Congress.

- (2) The Secretary is authorized to enter into cooperative agreements with the Upper Mississippi River Basin Association or any other agency established under paragraph (1) of this subsection to promote and facilitate active State government participation in the river system management, development, and protection.
- (3) For the purpose of ensuring the coordinated planning and implementation of programs authorized in subsections (e) and (h)(2) of this section, the Secretary shall enter into an interagency agreement with the Secretary of the Interior to provide for the direct participation of, and transfer of funds to, the Fish and Wildlife Service and any other agency or bureau of the Department of the Interior for the planning, design, implementation, and evaluation of such programs.
- (4) The Upper Mississippi River Basin Association or any other agency established under paragraph (1) of this subsection is hereby designated by Congress as the caretaker of the master plan. Any changes to the master plan recommended by the Secretary shall be submitted to such association or agency for review. Such association or agency may make such comments with respect to such recommendations and offer other recommended changes to the master plan as such association or agency deems appropriate and shall transmit such comments and other recommended changes to the Secretary. The Secretary shall transmit such recommendations along with the comments and other recommended changes of such association or agency to the Congress for approval within 90 days of the receipt of such comments or recommended changes.
  - (e) Program Authority
    - (1) Authority
      - (A) In general. The Secretary, in consultation with the Secretary of the Interior and the States of Illinois, Iowa, Minnesota, Missouri, and Wisconsin, may undertake, as identified in the master plan
        - (i) a program for the planning, construction, and evaluation of measures for fish and wildlife habitat rehabilitation and enhancement; and
        - (ii) implementation of a long-term resource monitoring, computerized data inventory and analysis, and applied research program, including research on water quality issues affecting the Mississippi River (including elevated nutrient levels) and the development of remediation strategies.
      - (B) Advisory committee. In carrying out subparagraph (A)(i), the Secretary shall establish an independent technical advisory committee to review projects, monitoring plans, and habitat and natural resource needs assessments.
- (2) REPORTS. Not later than December 31, 2004, and not later than December 31 of every sixth year thereafter, the Secretary, in consultation with the Secretary of the Interior and the States of Illinois, Iowa, Minnesota, Missouri, and Wisconsin, shall submit to Congress a report that
  - (A) contains an evaluation of the programs described in paragraph (1);
  - (B) describes the accomplishments of each of the programs;
  - (C) provides updates of a systemic habitat needs assessment; and
  - (D) identifies any needed adjustments in the authorization of the programs.
- (3) For purposes of carrying out paragraph (1)(A)(i) of this subsection, there is authorized to be appropriated to the Secretary \$40,000,000 for fiscal year 1999 and each fiscal year thereafter.
- (4) For purposes of carrying out paragraph (1)(A)(ii) of this subsection, there is authorized to be appropriated to the Secretary \$15,000,000 for fiscal year 1999 and each fiscal year thereafter.
- (5) Authorization of appropriations.—There is authorized to be appropriated to carry out paragraph (1)(B) \$350,000 for each of fiscal years 1999 through 2009.

- (6) Transfer of amounts.—For fiscal year 1999 and each fiscal year thereafter, the Secretary, in consultation with the Secretary of the Interior and the States of Illinois, Iowa, Minnesota, Missouri, and Wisconsin, may transfer not to exceed 20 percent of the amounts appropriated to carry out clause (i) or (ii) of paragraph (1)(A) to the amounts appropriated to carry out the other of those clauses.
- (7)(A) Notwithstanding the provisions of subsection (a)(2) of this section, the costs of each project carried out pursuant to paragraph (1)(A)(i) of this subsection shall be allocated between the Secretary and the appropriate non-Federal sponsor in accordance with the provisions of section 906(e) of this Act; except that the costs of operation and maintenance of projects located on Federal lands or lands owned or operated by a State or local government shall be borne by the Federal, State, or local agency that is responsible for management activities for fish and wildlife on such lands and, in the case of any project requiring non-Federal cost sharing, the non-Federal share of the cost of the project shall be 35 percent.
- (B) Notwithstanding the provisions of subsection (a)(2) of this section, the cost of implementing the activities authorized by paragraph (1)(A)(ii) of this subsection shall be allocated in accordance with the provisions of section 906 of this Act, as if such activity was required to mitigate losses to fish and wildlife.
- (8) None of the funds appropriated pursuant to any authorization contained in this subsection shall be considered to be chargeable to navigation.
- (f) (1) The Secretary, in consultation with any agency established under subsection (d)(1) of this section, is authorized to implement a program of recreational projects for the system substantially in accordance with the recommendations of the GREAT I, GREAT II, and GRRM studies and the master plan reports. In addition, the Secretary, in consultation with any such agency, shall, at Federal expense, conduct an assessment of the economic benefits generated by recreational activities in the system. The cost of each such project shall be allocated between the Secretary and the appropriate non-Federal sponsor in accordance with title I of this Act.
- (2) For purposes of carrying out the program of recreational projects authorized in paragraph (1) of this subsection, there is authorized to be appropriated to the Secretary not to exceed \$500,000 per fiscal year for each of the first 15 fiscal years beginning after the effective date of this section.
- (g) The Secretary shall, in his budget request, identify those measures developed by the Secretary, in consultation with the Secretary of Transportation and any agency established under subsection (d)(1) of this section, to be undertaken to increase the capacity of specific locks throughout the system by employing nonstructural measures and making minor structural improvements.
- (h)(1) The Secretary, in consultation with any agency established under subsection (d)(1) of this section, shall monitor traffic movements on the system for the purpose of verifying lock capacity, updating traffic projections, and refining the economic evaluation so as to verify the need for future capacity expansion of the system.
  - (2) Determination.
    - (A) In general. The Secretary in consultation with the Secretary of the Interior and the States of Illinois, Iowa, Minnesota, Missouri, and Wisconsin, shall determine the need for river rehabilitation and environmental enhancement and protection based on the condition of the environment, project developments, and projected environmental impacts from implementing any proposals resulting from recommendations made under subsection (g) and paragraph (1) of this subsection.
    - (B) Requirements. The Secretary shall
      - (i) complete the ongoing habitat needs assessment conducted under this paragraph not later than September 30, 2000; and
      - (ii) include in each report under subsection (e)(2) the most recent habitat needs assessment conducted under this paragraph.

- (3) There is authorized to be appropriated to the Secretary such sums as may be necessary to carry out this subsection.
- (i) (1) The Secretary shall, as he determines feasible, dispose of dredged material from the system pursuant to the recommendations of the GREAT I, GREAT II, and GRRM studies.
- (2) The Secretary shall establish and request appropriate Federal funding for a program to facilitate productive uses of dredged material. The Secretary shall work with the States which have, within their boundaries, any part of the system to identify potential users of dredged material.
- (j) The Secretary is authorized to provide for the engineering, design, and construction of a second lock at locks and dam 26, Mississippi River, Alton, Illinois and Missouri, at a total cost of \$220,000,000, with a first Federal cost of \$220,000,000. Such second lock shall be constructed at or in the vicinity of the location of the replacement lock authorized by section 102 of Public Law 95-502. Section 102 of this Act shall apply to the project authorized by this subsection.

### SEC. 906(e). COST SHARING.

- (e) In those cases when the Secretary, as part of any report to Congress, recommends activities to enhance fish and wildlife resources, the first costs of such enhancement shall be a Federal cost when--
- (1) such enhancement provides benefits that are determined to be national, including benefits to species that are identified by the National Marine Fisheries Service as of national economic importance, species that are subject to treaties or international convention to which the United States is a party, and anadromous fish;
- (2) such enhancement is designed to benefit species that have been listed as threatened or endangered by the Secretary of the Interior under the terms of the Endangered Species Act, as amended (16 U.S.C. 1531, et seq.), or
  - (3) such activities are located on lands managed as a national wildlife refuge.

When benefits of enhancement do not qualify under the preceding sentence, 25 percent of such first costs of enhancement shall be provided by non-Federal interests under a schedule of reimbursement determined by the Secretary. Not more than 80 percent of the non-Federal share of such first costs may be satisfied through in-kind contributions, including facilities, supplies, and services that are necessary to carry out the enhancement project. The non-Federal share of operation, maintenance, and rehabilitation of activities to enhance fish and wildlife resources shall be 25 percent.

#### EMP OPERATING APPROACH

2006 marks the 20<sup>th</sup> anniversary of the Environmental Management Program (EMP). During that time, the Program pioneered many new ideas to help deliver efficient and effective natural resource programs to the Upper Mississippi River System (UMRS). These included the creation of an effective partnership of five states, five federal agencies, and numerous NGOs; a network of six field stations monitoring the natural resources of the UMRS; and the administrative structure to encourage river managers to use both new and proven environmental restoration techniques.

EMP has a history of identifying and dealing with both natural resource and administrative challenges. The next several years represent new opportunities and challenges as Congress considers authorization of the Navigation and Environmental Sustainability Program (NESP), possible integration or merger of EMP with NESP, and changing standards for program management and execution.

We will continue to learn from both the history of EMP and experience of other programs. Charting a course for EMP over the next several years is important to the continued success of the Program. EMP will focus on the key elements of partnership, regional administration and coordination, LTRMP, and HREPs.

The fundamental focus of EMP will not change, however the way we deliver our services must change and adapt. This will include:

- further refinements in regional coordination and management,
- refinement of program goals and objectives,
- increased public outreach efforts,
- development and use of tools such as the regional HREP database and HREP Handbook,
- exploring new delivery mechanisms for contracting,
- continued refinement of the interface between LTRMP and the HREP program components, and
- scientific and management application of LTRMP information and data.

The focus of these efforts must benefit the resources of the UMRS through efficient and effective management.